

# **Parameter Identification and Control of DC Motor using Harmony Search Algorithm**

*Dissertation submitted in partial fulfilment of the requirement for the award of degree*

*of*

**Master of Engineering**

**in**

**Power Systems and Electric Drives**

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**JULY, 2013**

# CERTIFICATE

I hereby certify that the work which is being presented in this dissertation entitled "**Parameter Identification and Control of DC Motor using Harmony Search Algorithm**" in partial fulfillment of the requirement for the award of the degree of Master of Engineering in Power Systems & Electric Drives submitted in Electrical & Instrumentation Engineering Department of Thapar University, Patiala, is an authentic record of my own work carried out under supervision of Mr. Souvik Ganguli, Assistant Professor, EIED.

The matter presented in this report has not been submitted for the award of any other degree of this or any other University.

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It is certified that the above statement made by the student is correct to the best of my knowledge and brief.

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## ABSTRACT

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In this work, we have applied the Harmony Search Algorithm (HSA) for some non-linear benchmark functions to highlight the efficacy of the algorithm. There are two commonly used approaches to relate the output with its input: transfer function, state space representation. Then, a dc motor is modelled using armature resistance control and field control method by transfer function, state space representation approach. We have used the transfer function approach to identify the parameters of armature controlled dc shunt motor viz. armature resistance, armature inductance, moment of inertia, viscous friction, back emf/torque constant by proposed algorithm. Then, we validate our results from the experimental approach. Thereafter, we have controlled the dc motor using PI controller because of its better responsiveness and improvement of steady state response. There is a need to control its parameters according to our requirement. There are different classical tuning methods to control the parameters of the controller viz. Ziegler-Nichols, Astrom-Hagglund, etc. Then, we have applied proposed algorithm to tune the parameters of controller and compared its performance with the classical tuning methods.

# TABLE OF CONTENTS

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<b>CONTENTS</b>	<b>PAGE NO</b>
<b>CERTIFICATE</b>	i
<b>ACKNOWLEDGEMENT</b>	ii
<b>ABSTRACT</b>	iii
<b>TABLE OF CONTENTS</b>	iv
<b>LIST OF FIGURES</b>	vii
<b>LIST OF TABLES</b>	ix
<b>Chapter 1 INTRODUCTION</b>	1-4
1.1 BACKGROUND OF WORK	1
1.2 OBJECTIVE OF THE WORK	3
1.3 ORGANIZATION OF THE DISSERTATION	4
<b>Chapter 2 HARMONY SEARCH ALGORITHM</b>	5-17
2.1 INTRODUCTION	5
2.2 META-HEURISTIC HARMONY SEARCH ALGORITHM	6
2.2.1 MATHEMATICAL MODEL OF HSA	6
2.2.2 FLOW CHART OF HSA	9
2.2.3 VARIANTS OF HARMONY SEARCH ALGORITHM	10
2.2.4 ADVANTAGES	10
2.2.5 APPLICATIONS	10
2.3 STANDARD FUNCTIONS	
2.3.1 SPHERE FUNCTION	12
2.3.2 GRIEWANK FUNCTION	12
2.3.3 ROTATED HYPER ELLIPSOID FUNCTION	13
2.3.4 ACKLEY FUNCTION	14
2.4 RESULTS	15
2.5 CONCLUSION	17

<b>Chapter 3</b>	<b>DC MOTOR MODELING</b>	18-27
3.1	INTRODUCTION	18
3.2	DC MOTOR MODELING	18
	3.2.1 ARMATURE CONTROL METHOD	18
	3.2.2 FIELD CONTROL METHOD	22
3.3	RESULTS	
	3.3.1 SERVO RESPONSE	25
	3.3.2 REGULATORY RESPONSE	26
3.4	CONCLUSION	27
<b>Chapter 4</b>	<b>PARAMETER IDENTIFICATION OF DC MOTOR USING HARMONY SEARCH ALGORITHM</b>	28-45
4.1	INTRODUCTION	28
4.2	IDENTIFICATION PROCEDURE	29
4.3	LITERATURE REVIEW	31
4.4	METHODOLOGY	38
4.5	ALGORITHM FOR THE IDENTIFICATION PROCESS	38
4.6	EXPERIMENTAL SETUP	39
4.7	RESULTS	40
4.8	CONCLUSION	45
<b>Chapter 5</b>	<b>CONTROLLER DESIGN FOR A DC MOTOR USING HARMONY SEARCH ALGORITHM</b>	46-54
5.1	INTRODUCTION	46
5.2	PID CONTROLLER	46
	5.2.1 PROPORTIONAL CONTROLLER	47
	5.2.2 INTEGRAL CONTROLLER	47
	5.2.3 DERIVATIVE CONTROLLER	48
	5.2.4 STANDARD TRANSFER FUNCTION FOR PID CONTROLLER	48

5.3	CHARACTERISICS OF PID CONTROLLER	48
5.4	CLOSED LOOP TUNING METHODS	
	5.4.1 ZIEGLER NICHOLS TUNING METHOD	49
	5.4.2 ASTROM-HAGGLUND TUNING METHOD	50
5.5	METHODOLOGY	51
5.6	ALGORITHM FOR CONROLLER DESIGN OF DC MOTOR USING HARMONY SEARCH ALGORITHM	51
5.7	RESULTS	53
5.8	CONCLUSION	54
<b>Chapter 6</b>	<b>CONCLUSION AND FUTURE SCOPE</b>	<b>55</b>
6.1	CONCLUSION	55
6.2	FUTURE SCOPE OF THE WORK	55
	<b>REFERENCES</b>	<b>56-60</b>

## LIST OF FIGURES

---

<b>Figure No.</b>	<b>Figure Name</b>	<b>Page No.</b>
Figure 2.1	Flow chart of Harmony Search Algorithm	9
Figure 2.2	Two dimension plot for Sphere function	12
Figure 2.3	Two dimension plot for Griewank function	13
Figure 2.4	Two dimension plot for Rotated Hyper-Ellipsoid function	13
Figure 2.5	Two dimension plot for Ackley function	14
Figure 2.6	Variation of Sphere function values with iterations using HSA	15
Figure 2.7	Variation of Griewank function values with iterations using HSA	15
Figure 2.8	Variation of Rotated Hyper-Ellipsoid function values with iterations using HSA	16
Figure 2.9	Variation of Ackley function values with iterations using HSA	16
Figure 3.1	Armature Controlled DC Motor Model	19
Figure 3.2	Basic block diagram of Armature Controlled DC Motor	20
Figure 3.3	Servo Model	20
Figure 3.4	Regulatory Model	21
Figure 3.5	Field Controlled DC Motor Model	22
Figure 3.6	Basic block diagram of Field Controlled DC Motor	23
Figure 3.7	Step response of Armature Controlled DC Motor (Servo Response)	25
Figure 3.8	Step response of Armature Controlled DC Motor (Regulatory Response)	26
Figure 4.1	Process of System Identification	29
Figure 4.2	Procedure of System Identification	29
Figure 4.3	Experimental Setup	40
Figure 4.4	Step response of Speed with initial and optimized values of DC motor parameters (continuous time system)	41
Figure 4.4	Step response of Speed (simulated, continuous time system)	41
Figure 4.5	Step response of Speed for $T_s=0.01$ second (discrete time system)	42
Figure 4.6	Variation of square error with iterations	42
Figure 4.7	Variation of armature resistance with iterations	43

<b>Figure No.</b>	<b>Figure Name</b>	<b>Page No.</b>
Figure 4.8	Variation of armature inductance with iterations	43
Figure 4.9	Variation of moment of inertia with iterations	44
Figure 4.10	Variation of viscous friction with iterations	44
Figure 4.11	Variation of back emf/torque constant with iterations	45
Figure 5.1	Basic Structure of PID Controller	47
Figure 5.2	Comparison of Closed loop response of DC motor using Classical and HSA methods	53

## **LIST OF TABLES**

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Table 2.1	Results for Harmony Search Algorithm (HSA) for different standard functions	17
Table 3.1	DC Motor Specifications	25
Table 4.1	Specification of the DC Motor used for the experiment	39
Table 4.2	Variation of speed corresponding to different armature voltages	39
Table 4.3	Comparison between measured and optimized value of the parameters	40
Table 5.1	Effect of controller parameters on system performance	49
Table 5.2	Ziegler-Nichols Tuning Rules	50
Table 5.4	Astrom-Hagglund Closed Loop Method	51
Table 5.5	Comparison of Classical and algorithm tuning method	53

# CHAPTER 1

## INTRODUCTION

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### 1.1 Background of Work

DC motor is generally used for the loads requiring adjustable speed, good speed regulations and frequent starting, braking and reversing. This inherent characteristic makes it useful in industries. Some important applications are rolling mills, hoists, machine tools, traction, printing presses, textile mills and cranes etc. Fractional horsepower DC motors are widely used as servo motors for positioning and tracking. Although, it is being predicted that AC drives will replace DC drives, however, even today the variable speed applications are dominated by DC drives because of lower cost, reliability and simple control of its speed. Moreover, the speed/torque characteristics of DC motor is much superior to AC motor. Mathematical modeling is one of the most important and often the most difficult step towards understanding a physical system. In modeling of DC motor, the aim is to find differential equation that relates the input data to output data. There are few parameters of the DC motor that are complicated to determine using practical measurements. Thus, we need parameter estimation techniques to estimate those parameters.

The term identification was first introduced by Zadeh (1956) as a generic expression for the problem of “determining the input-output relationships of a black box by experimental means.” System identification is the art and science of building mathematical models of dynamic system from observed input-output data. It can be seen as the interconnection between the real world of applications and mathematical world of control theory to abstract their models. System identification can be elaborated with different techniques which depend on the character of the models to be estimated either it may be linear, nonlinear, hybrid or nonparametric model etc. System identification is, in one sense, a comparatively new concept, yet in another it is ancient. For example, if two persons are talking to each other, then we can predict the relationship between them just by observing their discussion and expressions. In other words, from their discussion and expressions we build a model to identify the relation between them. Similarly, from input-output data of a system we can build a model to identify the system. Model is extraction of mathematical description of the process. System identification has four steps to

identify the model of the system: Data recording, Model set selection, Identification Criteria and Model validation. In recent years, system identification technique is used in many areas like engineering, economics, medicine and space technology etc. There are number of methods that have been applied earlier for DC motor parameter identification viz. Recursive least square estimation techniques [7, 9], Least square [9, 10], moment method [17], pasek method [18], algebraic parameter identification technique [19] and steepest descent gradient method etc. Due to some limitation in classical methods, the recent trend is to use intelligent meta-heuristic algorithm for DC motor identification viz. Bacterial Foraging Optimization Algorithm (BFOA) [28], Adaptive Tabu Search Method [29], Radial Basis Function Neural Network (RBFNN) [30], Genetic Algorithm (GA) [38,40,41,43].

The two major components in modern meta-heuristic algorithms are: intensification and diversification or exploitation and exploration. For an algorithm to be efficient and effective, it must be able to generate diverse solutions including the potentially optimal solutions so as to explore the whole search space effectively, while intensification means to focus on the search in a local region by exploiting the information that a current good solution is found in this region. Diversification is often in the form of randomization term attached with a deterministic component in order to explore the search space effectively and efficiently, while intensification is the exploitation of previous solutions so as to select the potentially good solutions via elitism or use of memory or both. Diversification avoids the solution to be trapped at local minima. The effectiveness of any algorithm will depend upon the balancing of these two major factors. The Meta-heuristic algorithms are used everywhere to solve the optimization problems in diverse field viz. engineering, science, finance etc. because it can handle both continuous and discrete time variables and effectively deal with large complicated problems.

Harmony search was first proposed by Zong Woo geem in 2001 popularly known as meta-heuristic algorithm. Harmony search takes inspiration from the music improvisation process, where musicians improvise their instruments pitches searching for a perfect state of harmony. Although the estimation of a harmony is aesthetic and subjective on the other hand, there are several theorists who have provided the standard of harmony estimation: Greek philosopher and mathematician Pythagoras worked out the frequency ratios (or string length ratios with equal tension) and found that they had a particular mathematical relationship, after researching what

notes sounded pleasant together. Musical performances seek a best state (fantastic harmony) determined by aesthetic estimation, as the optimization algorithms seek a best state (global optimum-minimum cost or maximum benefit or efficiency) determined by the set of the values produced by components variables, the sounds for the aesthetic estimation can be improved through practice after practice, just the values for better objective function evaluation can be improved iteration by iteration. When a musician improvises one pitch, he (or she) has to follow any one of three rules: firstly playing any one pitch from his (or her) memory; second playing an adjacent pitch of one pitch from his (or her) memory; and thirdly choosing a totally random value from the possible range of values, which is defined as randomization. Thus, we have applied this algorithm to optimize the parameters of the DC Motor. The next step is to control the performance of the motor for desired purpose.

Proportional-Integral-Derivative (PID) controller is the most commonly used controller in industrial applications. In process control, more than 95% of the control loops are PID, most of them are PI controller because of its relatively simple structure and implementation. Thus, we have used the PI controller for the control of DC motor.

## **1.2 Objective of Work**

The dissertation fulfills the following objectives:

- i.** Harmony search algorithm is discussed in details and used to optimize the value of different un-constraint, non-linear benchmark functions like Sphere function, Griewank function, Hyper-Ellipsoid function and Ackley function.
- ii.** Parameter Identification of DC motor is been carried out using proposed algorithm.
- iii.** PI controller tuning for DC motor is been carried out using Harmony search algorithm and compared its performance with classical tuning techniques.

### **1.3 Organization of the Dissertation**

**Chapter 2** discuss the Harmony Search Algorithm and its implementation on various unconstraint, non-linear standard functions like Sphere function, Ackley function, Hyper-Ellipsoid function and Greiwank function.

**Chapter 3** covers the basic block diagram, mathematical model and overall transfer function of the separately excited DC motor and their servo and regulatory response.

**Chapter 4** elaborates the parameter estimation of DC motor using the Harmony Search Algorithm (HSA).

**Chapter 5** shows the comparison of DC motor controller tuning using conventional methods and Harmony Search Algorithm.

**Chapter 6** concludes the dissertation and also discusses its future perspectives.

## CHAPTER 2

# HARMONY SEARCH ALGORITHM

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### 2.1. Introduction

Optimization techniques are used to find the optimal values of objective function and decision variables associated with it. It can be classified into two main categories: Deterministic and Stochastic. Deterministic algorithms such as hill-climbing approach will produce the same set of solutions if the iterations start with the same initial guess. On the other hand, stochastic algorithms sometimes produce different solutions even with the same initial starting point. However, the final results, though slightly different, will usually converge to the same optimal solutions within a given accuracy.

Deterministic algorithms are almost all local search algorithms, and they are quite efficient in finding local optima. However, there is a risk for the algorithms to be trapped at local optima, while the global optima are out of reach. A common practice is to introduce some stochastic component to an algorithm so that it becomes possible to jump out of such locality. In this case, algorithms become stochastic.

Stochastic algorithms often have a deterministic component and a random component. The stochastic component can take many forms such as simple randomization by randomly sampling the search space or by random walks. Most stochastic algorithms can be considered as Meta heuristic and good examples are Genetic Algorithms (GA), Particle Swarm Optimization (PSO), Firefly Algorithm (FFA), Harmony Search Algorithm (HSA) etc.

Bio-inspired meta-heuristic algorithms have recently become the forefront of the current research as an efficient way to deal with many optimization problems and non-linear optimization constrained problems in general. These algorithms are based on a particular successful mechanism of a biological phenomenon of nature in order to achieve optimized solution. It works as follows: a population of individuals is randomly initialized where each individual represents a potential solution to the problem. The quality of each solution is then evaluated using a fitness function. A selection process is applied during the iteration of meta-heuristics in order to form a new population. The searching process is biased towards the

better individuals to increase their chances of being included in the new population. This procedure is repeated until convergence rules are met.

## 2.2. Meta-heuristic Harmony Search Algorithm

The HS algorithm, which was developed by Zong Woo Geem, mimics a musical improvisation process in which the musicians in an orchestra try to find a fantastic harmony through musical improvisations [1]. This musical process can be adapted into engineering optimization processes where the main objective is to find the global or near-global solution of a given objective function. In this approach, musical performances seek a best state (fantastic harmony) determined by aesthetic estimation, as the optimization problem seek a best state, When a musician improvise new pitch, he (or she) has to follow three steps, Firstly musician may play any one pitch from memory, or play an adjacent pitch from memory, or musician may play totally random pitch from the possible range of pitches.

HS has successfully been applied to a wide variety of practical optimization problems like pipe-network design, structural optimization [2], the vehicle routing [3] problem etc.

### 2.2.1 Mathematical Model of Harmony Search Algorithm

The main step in the procedure of Harmony Search Algorithm (HSA) is as follows.

Step 1. Initialize optimization problem and HSA algorithm parameters.

Step 2. Initialize Harmony Memory (HM).

Step 3. Improvise a new harmony from HM.

Step 4. Update harmony memory.

Step 5. Check the stopping criteria

#### (1) Initialize the Problem and Algorithm parameter

The optimization problem is defined as follows:

Minimize  $f(x)$  subject to  $x_i \in X_i$ ,  $i=1, \dots, N$ . where  $f(x)$  is the objective function  $x$  is the set of each decision variable ( $x_i$ ),  $X_i$  is the set of the possible range of values for each design variable, that is  $X_{iL} \leq x_i \leq X_{iU}$ , Where  $X_{iL}$  and  $X_{iU}$  are the lower and upper bounds for each decision variables. The Harmony Search Algorithm (HSA) parameters are also specified in this step, They are the harmony memory size (HMS), or the number of solution vectors in the harmony memory, Harmony memory considering rate (HMCR), bandwidth (BW), pitch

adjusting rate (PAR), number of improvisations (NI) or stopping criterion and number of decision variables (N).

## (2) Initialize the Harmony Memory (HM)

The harmony memory is a memory location where all the solution vectors (sets of decision variables) are stored. HM matrix is filled with as many randomly generated solution vectors as the Harmonic memory size (HMS).

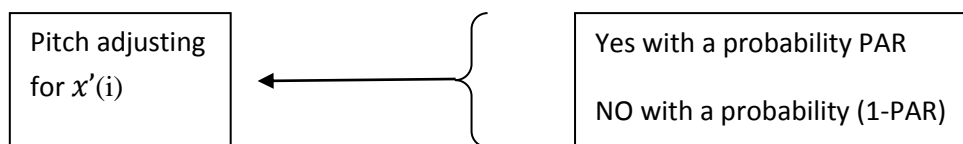
$$HM = \begin{bmatrix} x_1^1 & x_2^1 & \dots & x_N^1 & | & f(x^1) \\ x_1^2 & x_2^2 & \dots & x_N^2 & | & f(x^2) \\ \vdots & \dots & \dots & \dots & | & \vdots \\ x_1^{HMS} & x_2^{HMS} & \dots & x_N^{HMS} & | & f(x^{HMS}) \end{bmatrix}$$

## (3) Improve a new harmony

A new harmony vector  $x' = (x'_1, x'_2, x'_3, \dots, x'_n)$ , is generated based on the three rules:

- (1) memory consideration,
- (2) pitch adjustment and
- (3) random selection.

Generating a new harmony is called ‘improvisation’. The value of the first decision variable ( $x'_1$ ) for the new vector can be chosen from any value in the specified HM range ( $x_1^1 - x_1^{HMS}$ ). Values of the other design variables ( $x'_2, x'_3, \dots, x'_n$ ) are chosen in the same manner. HMCR, which varies between 0 and 1, is the rate of choosing one value from the historical values stored in the HM, while (1- HMCR) is the rate of randomly selecting one value from the possible range of values. Every component of the New Harmony vector,  $x' = (x'_1, x'_2, x'_3, \dots, x'_n)$  is examined to determine whether it should be pitch-adjusted. This operation uses the PAR parameter (the PAR parameter determines the probability of a candidate member from the HM matrix to be improvised, this term is equivalent to the mutation operator in GA), which is the rate of pitch adjustment as follows:



The value of (1- PAR) sets the rate of doing nothing. If the pitch adjustment decision for  $x'$  is Yes,  $x'$  is replaced as follows:

$$x'_i = x'_i \pm rand * BW$$

Where BW is an arbitrary distance bandwidth for the continuous design variable and rand is a random number between 0 and 1. In step 3, HM consideration, pitch adjustment or random selection is applied to each variable of the new harmony vector in turn.

#### **(4) Update harmony memory**

If the new harmony vector,  $x' = (x'_1, x'_2, x'_3, \dots, x'_n)$  is better than the worst harmony in the HM, from the point of view of objective function value, the new harmony is included in the HM and the existing worst harmony are excluded from HM.

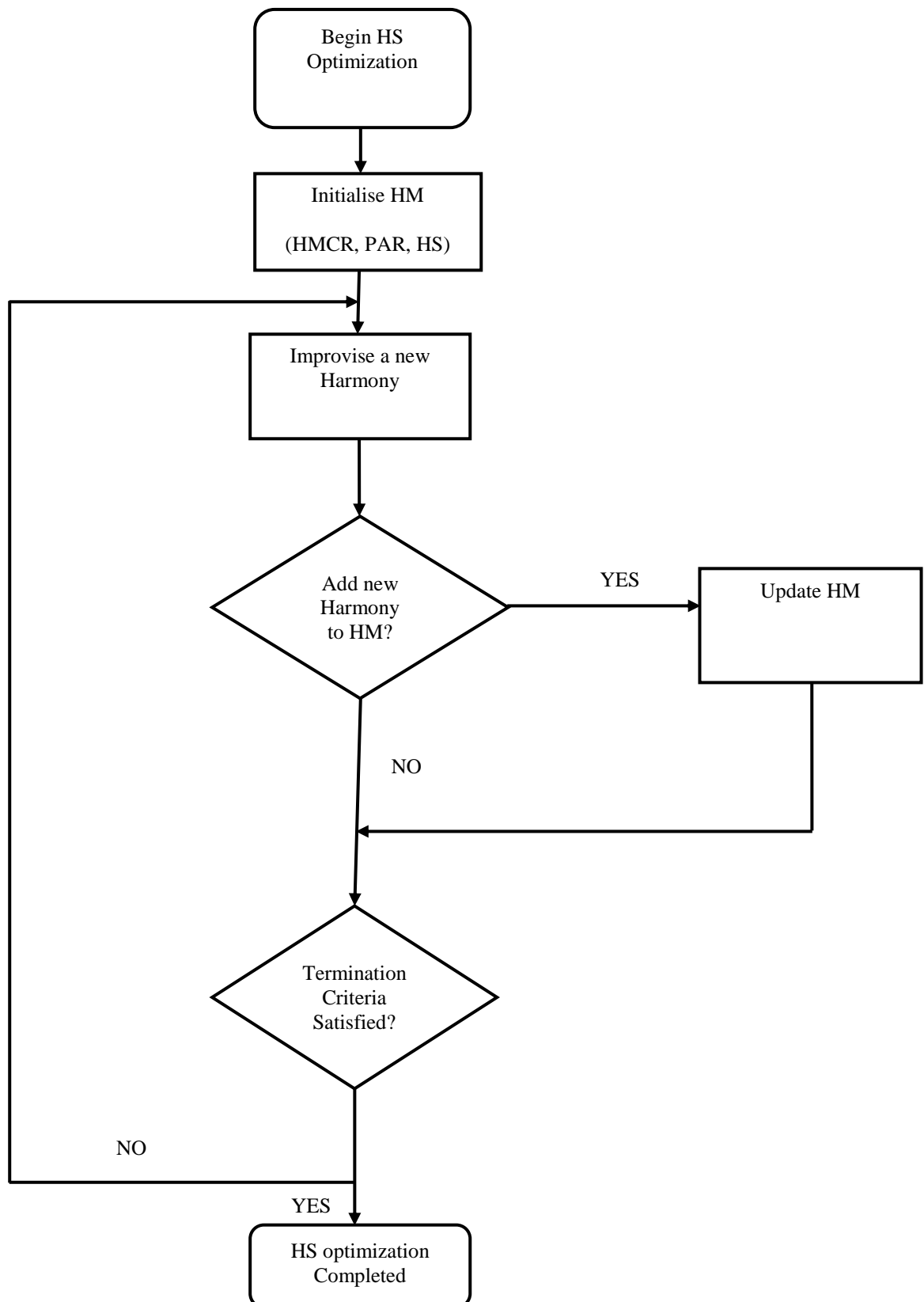
#### **(5) Check the stopping criteria**

If the stopping criterion (i.e) maximum number of improvisations is satisfied, computation is terminated. Otherwise, step 3 and 4 are repeated.

**Table 1. Comparison between Optimization and Musical Performance**

<b>COMPARISON FACTOR</b>	<b>OPTIMIZATION PROCESS</b>	<b>PERFORMANCE PROCESS</b>
Best state	Global Optimum	Fantastic Harmony
Estimated by	Objective Function	Aesthetic Standard
Estimated with	Values of Variables	Pitches of Instruments
Process unit	Each Iteration	Each Practice

### 2.2.2 Flow chart of Harmony Search Algorithm:



**Figure 2.1: Flow Chart of Harmony Search Algorithm**

### 2.2.3 Variants of Harmony Search Algorithm

If MI = number of iterations and FW = arbitrary length only for continuous variable, which was formerly called bandwidth. For more information of the term, a fret is the metallic ridge on the neck of a string instrument (such as guitar), which divides the neck into fixed segments, and each fret represents one semitone. In the context of the HS algorithm, frets mean arbitrary points which divide the total value range into fixed segments, and fret width (FW) is the length between two neighbouring frets. Uniform FW is normally used in HSA. Originally fixed parameter values were used. However, some researchers have proposed changeable parameter values. Mahdavi suggested that PAR (Pitch adjusting rate) increase linearly and FW decrease exponentially with iterations:

$J = 1, 2 \dots \dots, Maximp$ , (where  $Maximp$  = maximum number of improvisation)

$$PAR(J) = PAR_{min} + (PAR_{max} - PAR_{min}) * \left(\frac{J}{Maximp}\right) \quad \dots(2.1)$$

$$b(j) = b_{max} \exp^{\left[\ln\left(\frac{b_{min}}{b_{max}}\right) * \frac{j}{Maximp}\right]} \quad \dots(2.2)$$

### 2.2.4 Advantages of Harmonic Search Algorithm

Harmonic search is not a gradient-based search algorithm. And also Harmony search has fewer mathematical requirements, and subsequently, it can be used to deal with complex objective functions whether continuous or discontinuous, linear or nonlinear, or stochastic with noise. Also Implementation in HS algorithm is easy.

### 2.2.5 Applications of Harmony Search

#### Electrical engineering problems

- Energy system dispatch
- Photo-electronic detection
- Power system design
- Multi-level inverter optimization
- System Identification

### **Civil engineering problems**

- Structural design
- Water network design
- Dam scheduling
- Flood model calibration

### **Computer science problems**

- Web page clustering
- Text summarization
- Internet routing
- Visual tracking
- Robotics

### **Bio & medical applications**

- RNA structure prediction
- Hearing aids
- Medical physics

### **Mechanical engineering problems**

- Heat exchanger design
- Satellite heat pipe design
- Offshore structure design

## 2.3 Standard functions

### 2.3.1 Sphere function

Sphere function is also known as De Jong's function since it is the first function of De Jong. It is one of the simplest benchmark functions. This function is continuous, unimodal and convex. It has following general definition [4].

$$f(x) = \sum_{i=1}^N x_i^2$$

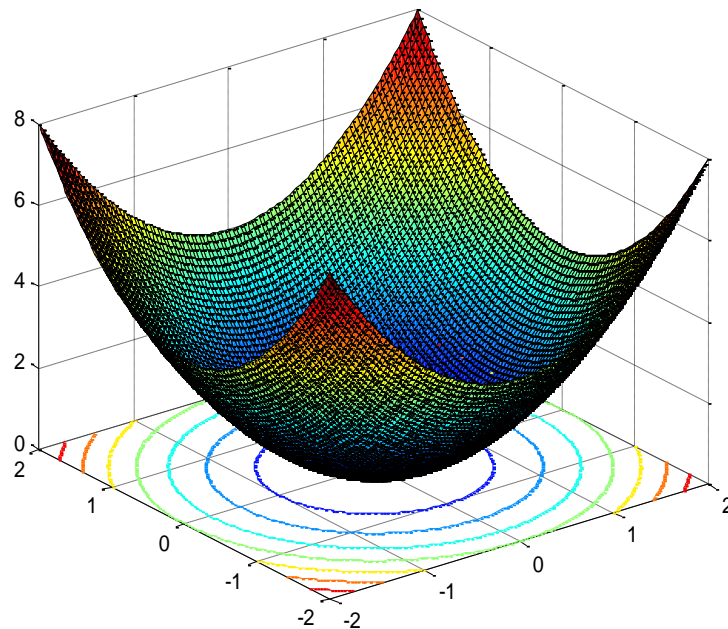
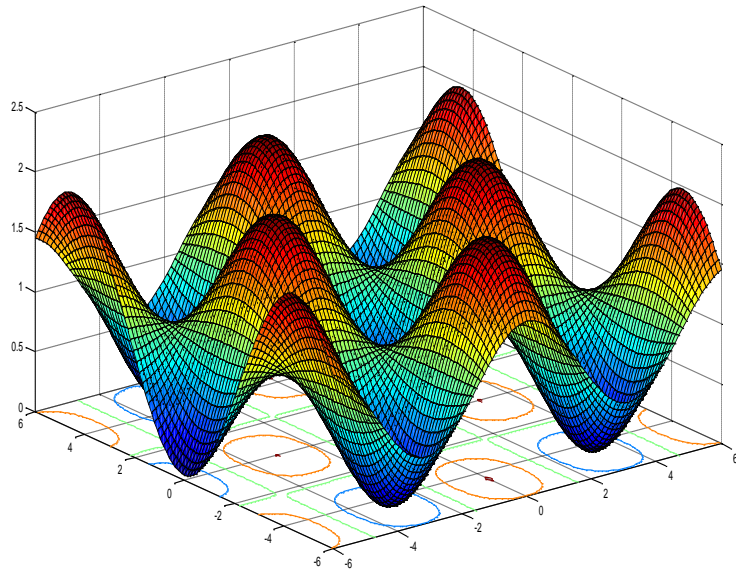


Figure 2.2 Two dimension plot for Sphere Function

### 2.3.2 Greiwank's function

Greiwank function has many regularly distributed widespread local minima. Function has following definition [4].

$$f(x) = \frac{1}{4000} \sum_{i=1}^N x_i^2 - \prod_{i=1}^N \cos\left(\frac{x_i}{\sqrt{i}}\right) + 1$$

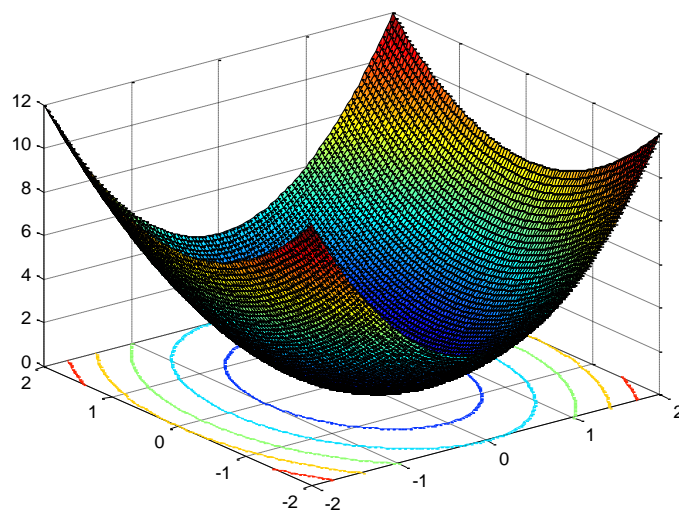


**Figure 2.3 Two dimension plot for Greiwank Function**

### 2.3.3 Rotated Hyper-Ellipsoid Function

This function produces rotated hyper-ellipsoids. This function is continuous, convex and unimodal in nature. Function has the following definition [4].

$$f(x) = \sum_{i=1}^N (\sum_{j=1}^i x_j)^2$$



**Figure 2.4 Two dimension plot for Rotated Hyper-Ellipsoid Function**

### 2.3.4 Ackley function

Ackley is widely used test function. It is most difficult function to optimize. The definition of Ackley function is as follows [4].

$$f(x, y) = -20 \exp\left(-0.2 \sqrt{\frac{1}{N} \sum_{i=1}^N x_i^2}\right) - \exp\left(\sqrt{\frac{1}{N} \sum_{i=1}^N \cos(2\pi x_i)}\right) + 20 + \exp(1)$$

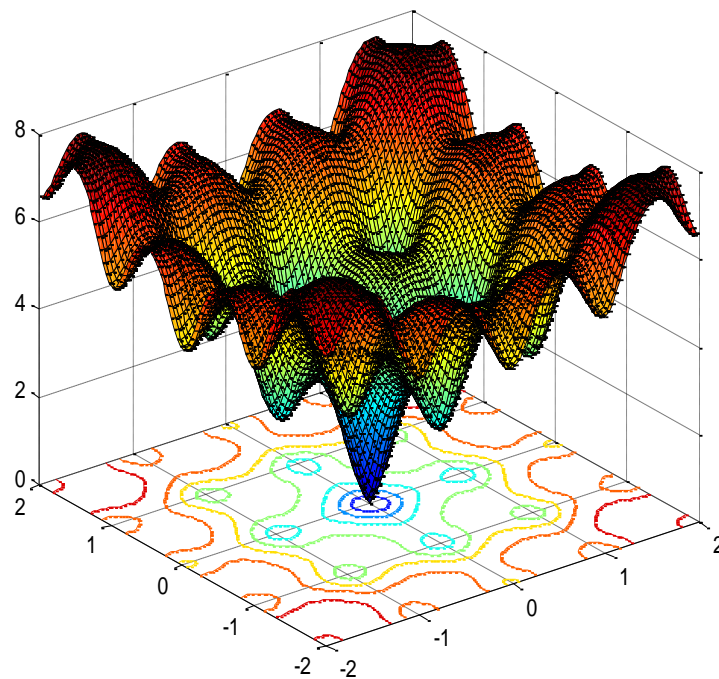
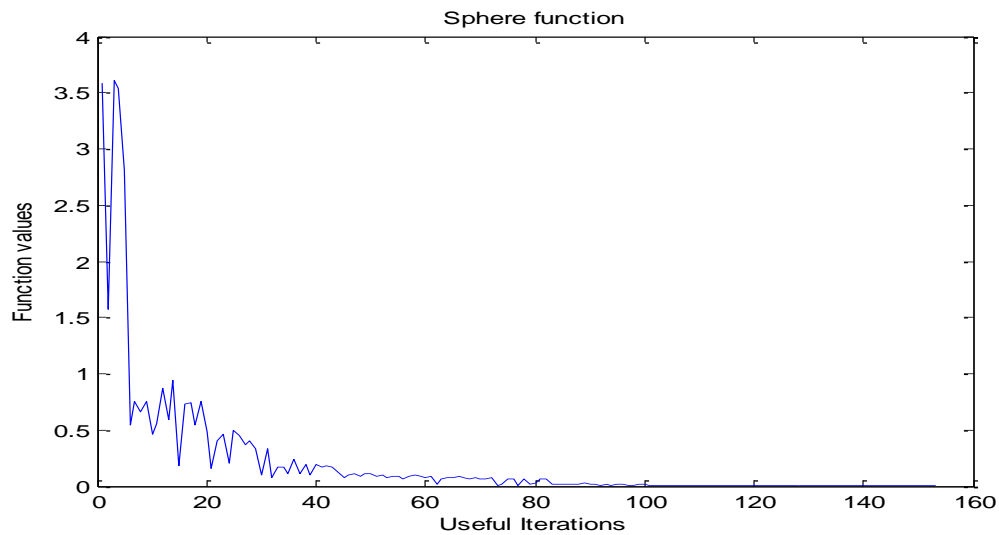


Figure 2.5 Two dimension plot for Ackley Function

## 2.4 Results

### 1. Sphere function

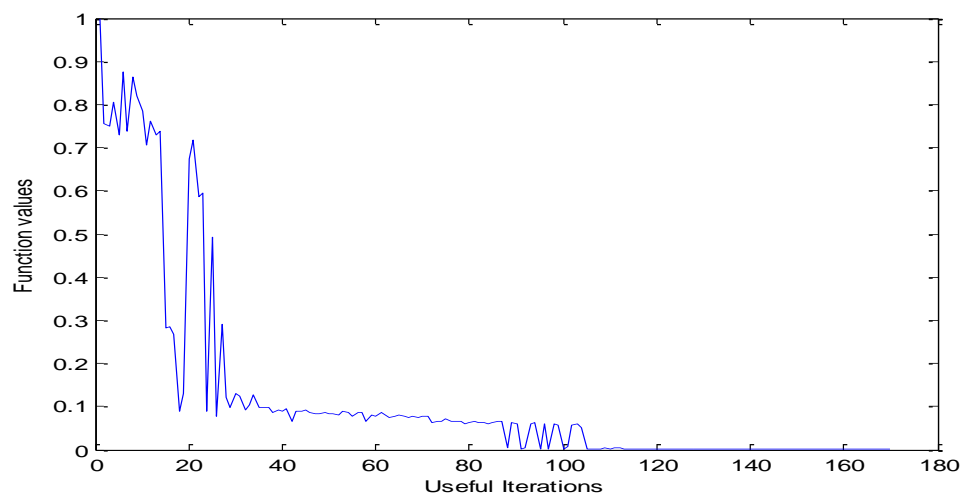
The variation of function values for two dimensions with useful iterations are shown in Figure 2.6.



**Figure 2.6 Variation of Sphere function values with Iterations using HSA**

### 2. Greiwank function

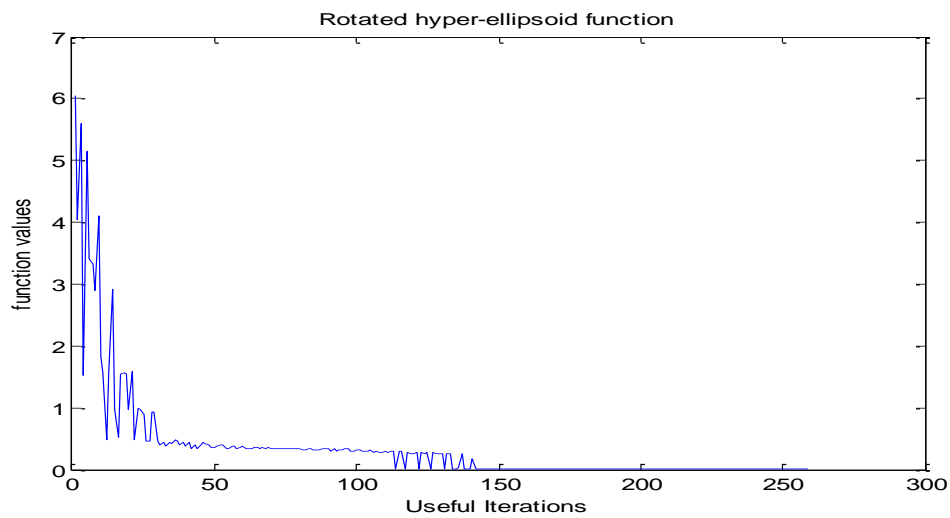
The variation of function values for two dimensions with useful iterations are shown in Figure 2.7.



**Figure 2.7 Variation of Greiwank function values with Iterations using HSA**

### 3. Rotated Hyper-Ellipsoid function

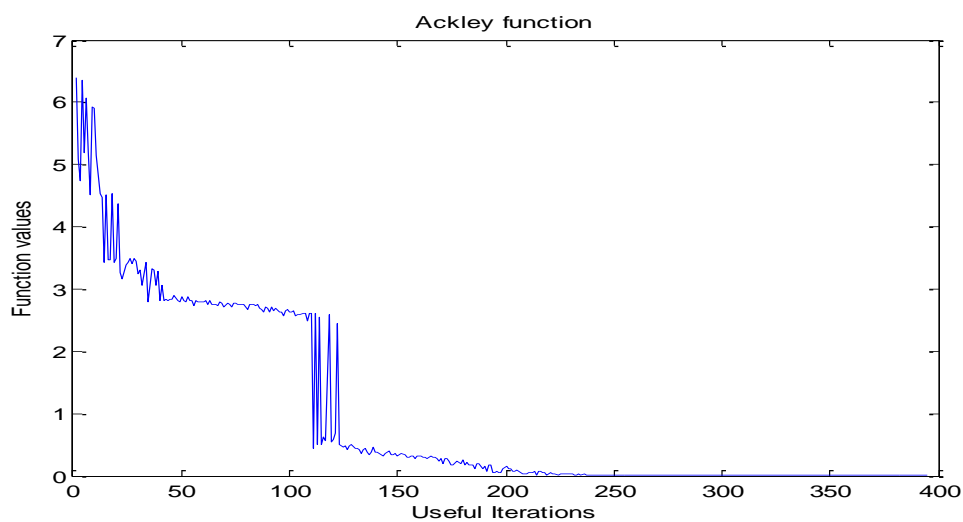
The variation of function values for two dimensions with useful iterations are shown in Figure 2.8.



**Figure 2.8 Variation of Rotated Hyper-Ellipsoid function values with Iterations using HSA**

### 4. Ackley function

The variation of function values for two dimensions with useful iterations are shown in Figure 2.9.



**Figure 2.9 Variation of Ackley function values with Iterations using HSA**

**Table 2: Results for Harmony Search Algorithm (HSA)**

Function	Dimension (N)	HMCR	PAR	Pitch range	Search Space	$x_1$	$x_2$	HM	fmin	count	Time elapsed (seconds)
Sphere	2	0.72	0.5	[100 100]	[-2 2]	$0.0250 \times 10^{-3}$	$0.8027 \times 10^{-3}$	12	$6.4493 \times 10^{-7}$	335	0.315021
Griewank	2	0.85	0.7	[100 100]	[-2 2]	$0.7258 \times 10^{-3}$	$0.1141 \times 10^{-3}$	12	$2.6675 \times 10^{-7}$	280	0.57961
Rotated Hyper-Ellipsoid	2	0.9	0.5	[100 100]	[-2 2]	$-0.8761 \times 10^{-3}$	$-0.2288 \times 10^{-3}$	12	$1.5875 \times 10^{-6}$	430	0.346338
Ackley	2	0.9	0.5	[100 100]	[-2 2]	$-0.2869 \times 10^{-5}$	$-0.1377 \times 10^{-5}$	12	$9.0016 \times 10^{-6}$	6007	3.338893

## 2.5. Conclusion

In this chapter we have studied Harmony Search Algorithm and applied it to some non-linear benchmark functions viz.: Sphere, Griewank, Rotated hyper ellipsoid, Ackley function. Thus, we can conclude that this algorithm provides optimum value or near optimum value of the given objective function in less amount of time. Further we will apply this algorithm to identify the parameters of DC Motor.

## CHAPTER 3

### DC MOTOR MODELING

---

#### 3.1 Introduction

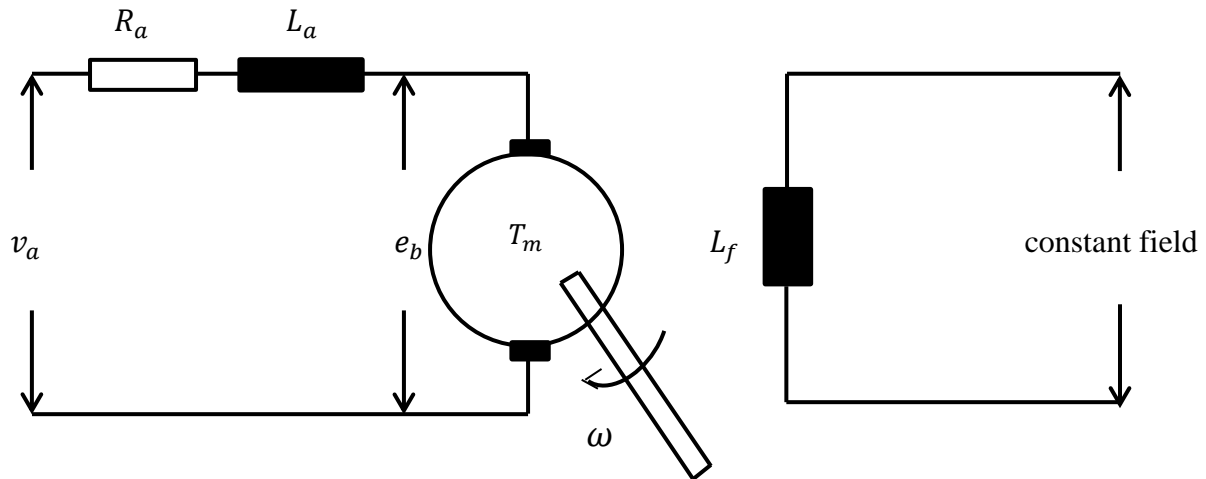
DC motor drives are widely used in applications requiring adjustable speed, good speed regulation and frequent starting, braking and reversing operations. Some important applications are rolling mills, paper mills, mine winders, hoists, machine tools, traction, printing presses, textile mills and cranes. Fractional horsepower DC motors are widely used as servo motors for positioning and tracking. Although, it is being predicted that AC drives will replace DC drives, however, even today the variable speed applications are dominated by DC drives because of its reliability and ease of control. In this chapter, the basic model of a separately excited DC motor drive is discussed. Transfer functions and state space models are derived for position and speed control applications.

#### 3.2 DC Motor Modeling

System model represents a certain property of the system in graphical, pictorial, analytical, or mathematical form. Block diagram and signal flow graph are the graphical models. Transfer function and state space representations are mathematical models. The speed control of DC motor is mainly governed by armature control and field control methods and are discussed in the subsequent sections.

##### 3.2.1 Armature Control method

In armature control of DC motor, it is assumed that demagnetizing effect of armature reaction is neglected, magnetic circuit is assumed to be linear and the field voltage is constant. This method is used to obtain the speed below the rated speed. Figure 3.1 shows the equivalent model of armature controlled DC motor.



**Figure 3.1 Armature Controlled DC Motor Model**

$v_a(t)$  =Armature Voltage (Volts)

$R_a$ =Armature Resistance (Ohm)

$L_a$ = Armature Inductance (H)

$K_b$ =Back emf constant

$K_t$ =Torque Constant

$J_m$ =Rotor Inertia

$B_m$ =Viscous Friction

$E_b(t)$ =Back e.m.f (Volts)

$i_a(t)$ =Armature Current

$T_m(t)$ =Motor Torque (Nm)

$T_L(t)$ =Load Torque (Nm)

$\omega(t)$ =Speed of the motor

The governing equations of a dc motor are given as follows:

$$v_a(t) = R_a i_a(t) + L_a \frac{di_a}{dt} + e_b(t) \quad \dots(3.1)$$

$$e_b(t) = K_b \omega(t) \quad \dots(3.2)$$

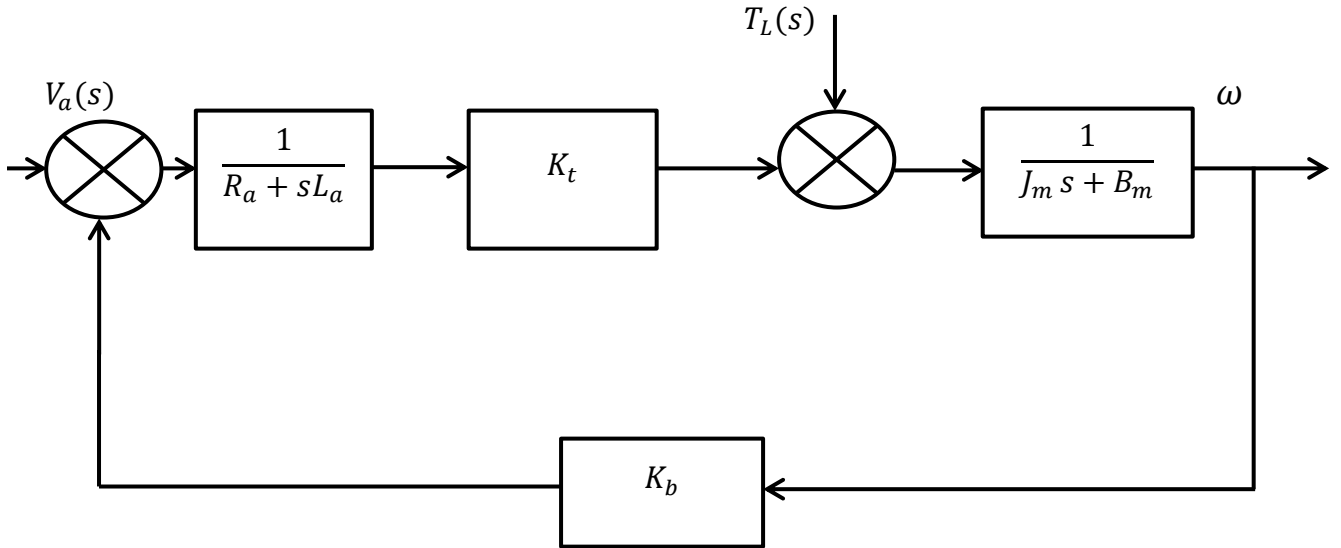
$$T_m(t) = K_t i_a(t) \quad \dots(3.3)$$

$$T_m(t) - T_L(t) = J_m \frac{d\omega(t)}{dt} + B_m \omega(t) \quad \dots(3.4)$$

On taking Laplace transform of eq (3.1) and eq (3.4)

$$V_a(s) = R_a I_a(s) + sL_a I_a(s) + K_b \omega(s) \quad \dots(3.5)$$

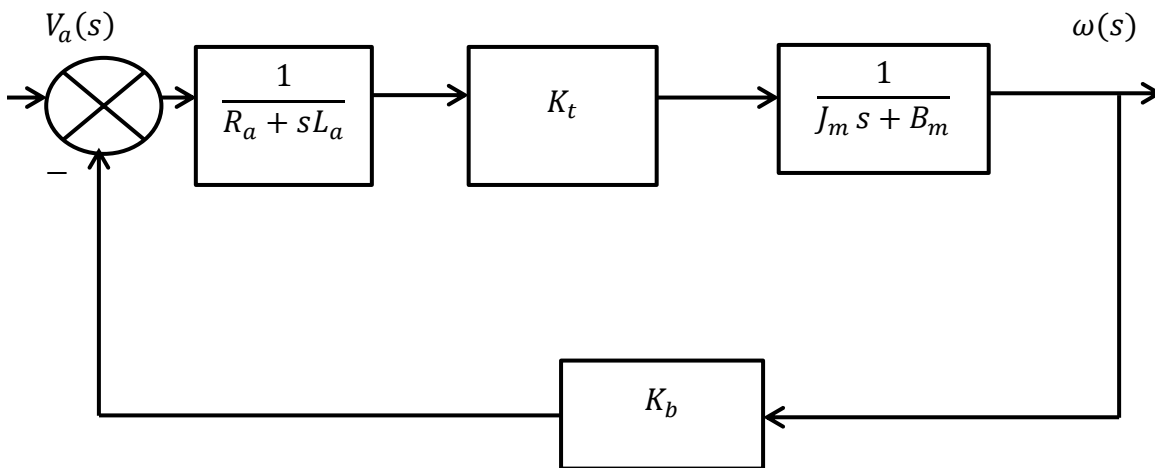
$$K_t I_a(s) = sJ_m \omega(s) + B_m \omega(s) + T_L(s) \quad \dots(3.6)$$



**Figure 3.2 Basic block diagram of Armature Controlled DC Motor**

**There are two cases:**

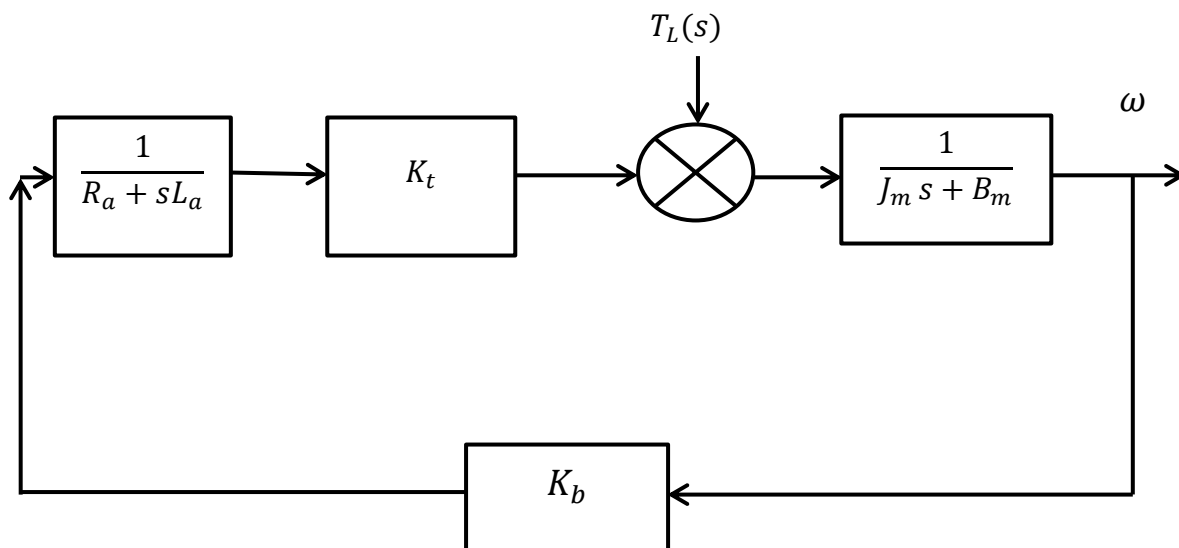
(1). When  $T_L = 0$ , the output follows the input signal and the corresponding response so obtained known as **Servo response** of the system. Figure 3.3 shows the block diagram of servo model of the DC motor drive.



**Figure 3.3 Servo Model**

$$\frac{\omega(s)}{V_a(s)} = \frac{K_t}{L_a J_m s^2 + (R_a J_m + L_a B_m) s + (R_a B_m + K_b K_t)} \quad \dots(3.7)$$

(2). When  $V_a = 0$ , the response is known as **Regulatory response** of the system. Figure 3.4 shows the block diagram of regulatory model of the DC motor drive.



**Figure 3.4 Regulatory Model**

$$\frac{\omega(s)}{T_L(s)} = \frac{-(R_a + sL_a)}{L_a J_m s^2 + (R_a J_m + L_a B_m)s + (R_a B_m + K_b K_t)} \quad \dots(3.8)$$

### State –Space modeling

The dynamic equations are cast in state space form and are given by

$$\begin{bmatrix} \dot{i}_a \\ \dot{\omega} \end{bmatrix} = \begin{bmatrix} \frac{-R_a}{L_a} & \frac{-K_t}{L_a} \\ \frac{K_t}{J_m} & \frac{-B_m}{J_m} \end{bmatrix} \begin{bmatrix} i_a \\ \omega \end{bmatrix} + \begin{bmatrix} \frac{1}{L_a} & 0 \\ 0 & \frac{-1}{J_m} \end{bmatrix} \begin{bmatrix} v_a \\ T_L \end{bmatrix} \quad \dots(3.9)$$

Equation 3.9 can be expressed in the form given by

$$\dot{X} = AX + BU \quad \dots(3.10)$$

Where,  $X = [i_a \ \omega]$ ,  $U = [v_a \ T_L]$ ,  $X$  is the state variable vector and  $U$  is the input vector.

Where

$$A = \begin{bmatrix} \frac{-R_a}{L_a} & \frac{-K_t}{L_a} \\ \frac{K_t}{J_m} & \frac{-B_m}{J_m} \end{bmatrix},$$

$$B = \begin{bmatrix} \frac{1}{L_a} & 0 \\ 0 & \frac{-1}{J_m} \end{bmatrix}$$

The roots of the system are evaluated from the A matrix and given by

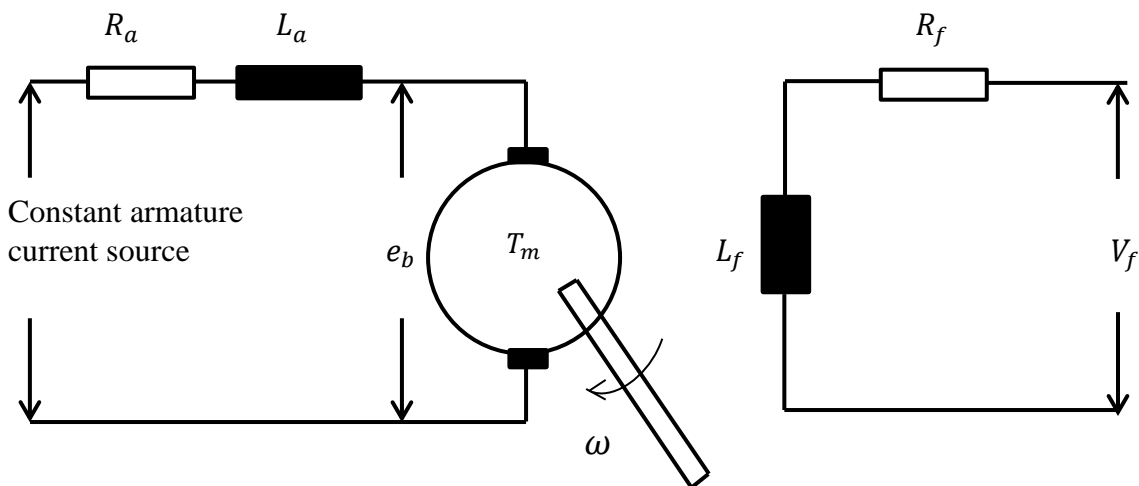
$$\beta_1 = \frac{-\left(\frac{R_a + B_m}{L_a + J_m}\right) + \sqrt{\left(\frac{R_a + B_m}{L_a + J_m}\right)^2 - 4\left(\frac{R_a B_m + K_t^2}{J_m L_a + J_m}\right)}}{2}$$

$$\beta_2 = \frac{-\left(\frac{R_a + B_m}{L_a + J_m}\right) - \sqrt{\left(\frac{R_a + B_m}{L_a + J_m}\right)^2 - 4\left(\frac{R_a B_m + K_t^2}{J_m L_a + J_m}\right)}}{2}$$

It is interesting to observe that these roots will always have a negative real part whatsoever be the values of the parameters, indicating that the motor is stable on open loop operation.

### 3.2.2 Field Control method

We can also control the speed of DC motor by varying the field flux. This method of control is generally used when the motor has to run above its rated speed. In this method, a constant current  $i_a$  is fed to the armature and flux is proportional to the field current. Figure 3.5 shows the equivalent model of field controlled DC motor drive.



**Figure 3.5 Field Controlled DC Motor Model**

The governing equations of a dc motor are given as follows:

$$v_f(t) = R_f i_f(t) + L_f \frac{di_f}{dt} \quad \dots(3.11)$$

$$\Phi = K_f i_f(t) \quad \dots(3.12)$$

$$T_m(t) = K\Phi i_a(t) \quad \dots(3.13)$$

$$T_m(t) = KK_f i_f(t) \quad \dots(3.14)$$

$$T_m(t) - T_L(t) = J_m \frac{d\omega(t)}{dt} + B_m \omega(t) \quad \dots(3.15)$$

Where,

$v_f(t)$  =Field voltage (Volts)

$R_f$ =Field Resistance (Ohm)

$L_f$ = Field Inductance (H)

$K_f, K$ = constant

$J_m$ =Rotor Inertia

$B_m$ =Viscous Friction

$T_m(t)$ =Motor Torque (N-m)

$T_L(t)$ =Load Torque (N-m)

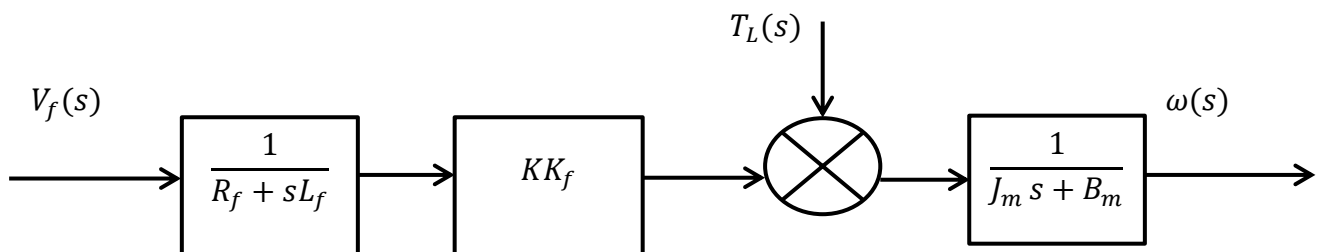
$\omega(t)$ =Speed of the motor

On taking Laplace transform of eq (3.11), eq (3.14) and eq (3.15)

$$V_f(s) = R_f I_f(s) + sL_f I_f(s) \quad \dots(3.16)$$

$$T_m(s) = KK_f i_f(s) \quad \dots(3.17)$$

$$T_m(s) - T_L(s) = sJ_m \omega(s) + B_m \omega(s) \quad \dots(3.18)$$



**Figure 3.6 Basic block diagram of field controlled DC Motor**

$$\frac{\omega(s)}{V_f(s)} = \frac{KK_f}{(L_f s + R_f)(J_m s + B_m)} \quad \dots(3.19)$$

## State –Space modeling

The dynamic equations can be cast into state space form and can be given by

$$\begin{bmatrix} \dot{i}_f \\ \dot{\omega} \end{bmatrix} = \begin{bmatrix} \frac{-R_f}{L_f} & 0 \\ \frac{KK_f}{J_m} & \frac{-B_m}{J_m} \end{bmatrix} \begin{bmatrix} i_f \\ \omega \end{bmatrix} + \begin{bmatrix} \frac{1}{L_f} & 0 \\ 0 & \frac{-1}{J_m} \end{bmatrix} \begin{bmatrix} v_f \\ T_L \end{bmatrix} \quad \dots(3.20)$$

Equation 3.20 can be expressed in the form given by

$$\dot{X} = AX + BU \quad \dots(3.21)$$

Where,  $X = [i_a \ \omega]$ ,  $U = [v_a \ T_L]$ ,  $X$  the state variable vector and  $U$  is the input vector.

$$A = \begin{bmatrix} \frac{-R_a}{L_a} & \frac{-K_t}{L_a} \\ \frac{K_t}{J_m} & \frac{-B_m}{J_m} \end{bmatrix}, \quad B = \begin{bmatrix} \frac{1}{L_a} & 0 \\ 0 & \frac{1}{J_m} \end{bmatrix}$$

The roots of the system are evaluated from the A matrix and given by

$$\beta_1 = \frac{-\left(\frac{R_f + B_m}{L_f + J_m}\right) + \sqrt{\left(\frac{R_f + B_m}{L_f + J_m}\right)^2 - 4\left(\frac{R_f B_m}{J_m L_f}\right)}}{2}$$

$$\beta_2 = \frac{-\left(\frac{R_f + B_m}{L_f + J_m}\right) - \sqrt{\left(\frac{R_f + B_m}{L_f + J_m}\right)^2 - 4\left(\frac{R_f B_m}{J_m L_f}\right)}}{2}$$

It is interesting to observe that these roots will always have negative real part irrespective of parameter values, indicating that the motor is stable on open loop operation.

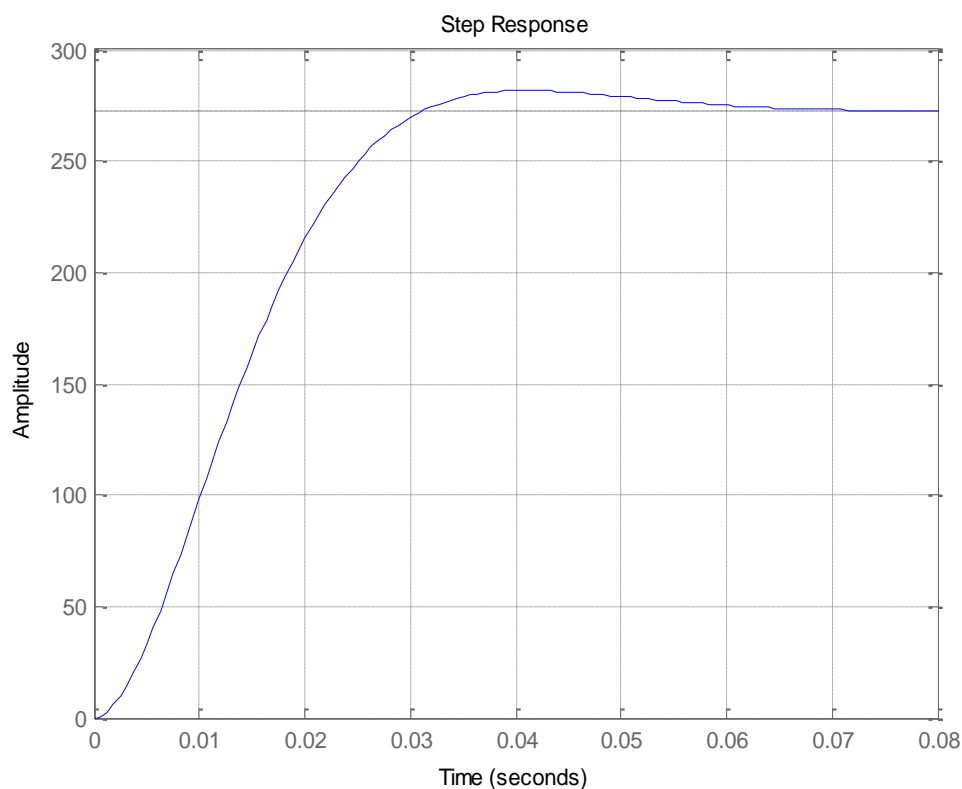
### 3.3 Results

The DC Motor specifications are given below [5].

DC Supply Voltage	220 Volt
Armature Resistance ( $R_a$ )	0.5 Ohm
Armature Inductance ( $L_a$ )	0.003 Henry
Viscous Friction ( $B_m$ )	0.01 Nm/rad/sec
Moment of Inertia ( $J_m$ )	0.0167 Kg-m <sup>2</sup>
Back Emf constant ( $K_b$ ) = Torque constant ( $K_T$ )	0.8 Volt/rad/sec
Load Torque ( $T_L$ )	100 N-m

#### 3.3.1 Servo Response

The response of the DC motor when load torque = 0 is shown in the Figure 3.7



**Figure 3.7 Step response of Armature Controlled DC Motor (Servo Response)**

Rise time = 0.0198;

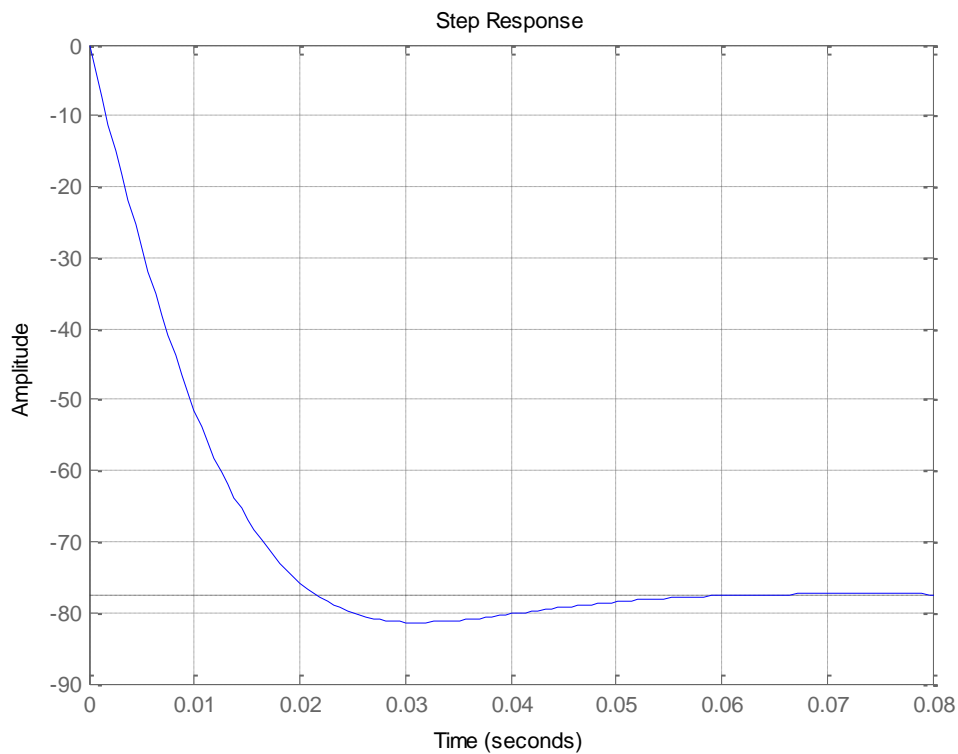
Overshoot = 3.2494;

Peak time = 0.0408;

Settling time = 0.0515

### 3.3.2 Regulatory Response

The response of the DC motor when armature voltage = 0 is shown in the Figure 3.8



**Figure 3.8 Step response of Armature Controlled DC Motor (Regulatory Response)**

Rise time = 0.0150;

Overshoot = 4.9703;

Peak time = 0.0314;

Settling time = 0.0462

### **3.4 Conclusion**

In this chapter, we have modeled a DC motor by armature control and field control method. The time constant of armature controlled DC motor is generally small compared to the field control hence the time response of the former is usually faster. Further in armature controlled DC motor, the back emf developed by the armature adds to the damping. That is why we have used the armature controlled model for the identification of DC motor parameters in the forthcoming chapter.

## CHAPTER 4

# PARAMETER IDENTIFICATION OF DC MOTOR USING HARMONY SEARCH ALGORITHM

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### 4.1 Introduction

Understanding why a system behaves in a certain way and predicting its future behavior is a major field of research in control engineering. The system in question could be anything from bacteria growth and stock markets to global warming and galaxy movements. Although some of these systems, such as the stock market are a man-made system yet its exact behavior can't be determined. This uncertainty in such systems is due to the complexity of the system. In other situations, some simple man-made systems deviate from its designed behavior due to aging or wearing out, which was assumed to be static. For example, the performance of car brakes changes with time due to aging or wearing out. The behavior of natural systems such as galaxy movements and global warming is far more complex and is much harder to understand.

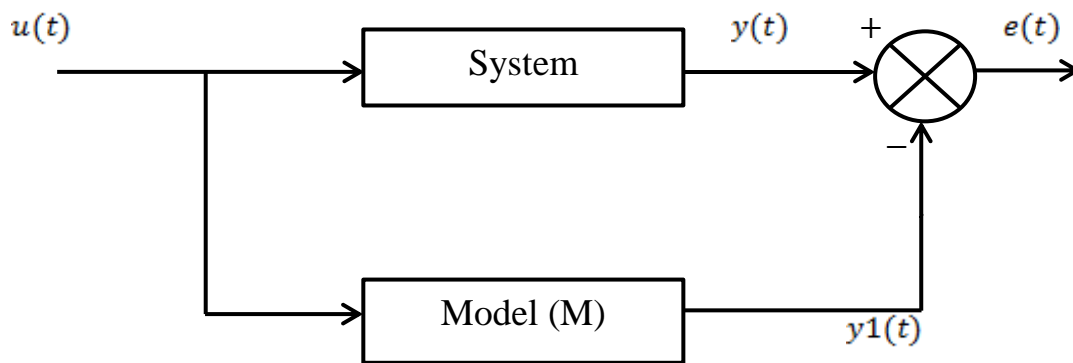
System identification involves creating a model for the system in question that the model will produce an output that matches the original system output to a certain degree of accuracy. The input or excitation given to both system and the model, and their corresponding output are used to create and tune that model until a satisfactory degree of model accuracy is reached. As shown in Figure 4.1, the input  $u(t)$  is fed to both the system and the model  $M$ , then their corresponding outputs  $y(t)$  and  $y_1(t)$  are produced and matched to produce the error. The error  $e(t)$  reflects how much the model matches the system; the lower the error, the more the model resembles the system.

System identification of practical systems is not an easy task to be accomplished by traditional techniques. Most real-world systems contain dynamic components. Due to this dynamic nature of the system, the output of the system not only depends on the current input but also on the past inputs and outputs of the system. As the number of old data affecting the system increases, the number of terms used in the classic system models increases substantially. Another difficulty encountered in identifying real-world system is its nonlinearity. In order to simplify the problem, the discrete time non-linear systems can be

represented by Non-linear Auto-Regressive Moving Average model with exogenous inputs (NARMAX) models.

System identification is essential for many fields of applications which may be anything from planetary movement in astronomy to algae growth in Biology. System identification consists of two subtasks (a). Structural Identification, (b). Parameter Identification. It can be formulated as an optimization technique where objective is to find a model and its parameters that minimize the error between the system and model M. The sum of squared error (SSE) is a commonly used measure of the prediction error.

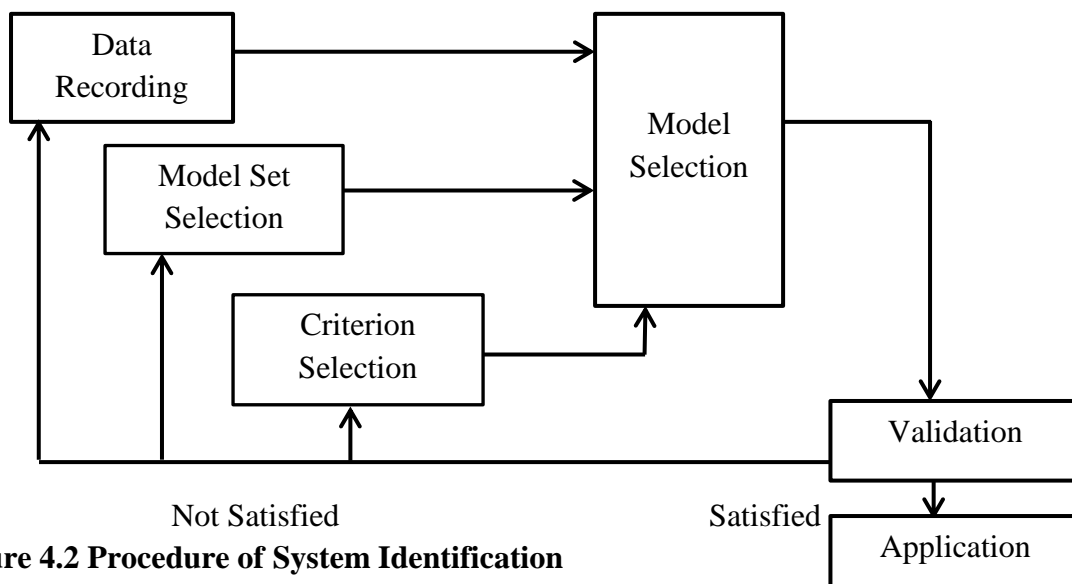
$$SSE = \sum [y(t) - y_1(t)]^2$$



**Figure 4.1 Process of System Identification**

## 4.2 Identification Procedure

The system identification process of constructing a system model can be described by the following procedure [6].



**Figure 4.2 Procedure of System Identification**

### **i. Data Recording**

Sometimes the input and output of system are recorded during identification experiment where user may choose when and what input and output is to be recorded. The objective of data recording is to make the data more informative.

### **ii. Model Set Selection**

The next step is to choose a model set by specifying what types of models we are looking for. The model set selection is the most important and at the same time, the most difficult step of identification process. To describe a process or a system we need a model of it. We can develop the model of the system from experiences for example, when we drive a car and approaching a road bump, we slow down because we feel intuitively that when the speed is too high, we will hit the head in the roof and another ways to describe the systems by white box modeling, black box modeling and grey box modeling. When the model is completely constructed from mathematical scientific relations, such as differential equation, difference equation and algebraic relation, the resulting model is known as white box model. When a model is formed by means of identification without knowing the inside of system, the model is known as black box model. There is another model in which some but incomplete knowledge about the system is known as grey box model.

### **iii. Best Model Selection**

After the model set is selected, the best model in this set is selected using some of the input-output data recorded previously. In this step, the model parameters are tuned so that the model output would fit the system output as much as possible.

### **iv. Model Validation**

The next step is to verify the quality of the developed model by comparing the model output to the original system output when both are fed with samples of the input-output data recorded previously (in this case, the validation data are different from the data used for model selection), or with the system in real operation situation (not experimental mode). If the model meets the chosen criteria, the model is accepted, otherwise, it is rejected and another model is created. This procedure is repeated until a satisfactory model is created. It is to be noted that a

system model will only imitate the original system in certain aspects of interest to the model designer. A model can never be accepted as a final and true description of the system.

### 4.3 Literature Review

**Krneta et al.** [7] presented procedure of parameters identification of DC motor model using a method of recursive least squares. To identify the system an experiment, measuring of signals was carried out at input-supply of voltage and output of the system for identification - motor angle speed. This paper investigated the issues involved in applying Recursive Least Squares method in parameters identification of DC motor model. The issues were considered both theoretically and experimentally.

**Saab et al.** [8] implemented parameter identification of dc motor employing least square algorithm without the use of D/A converter and a power amplifier. In addition to improve the overall identification performance the dc parameters were first estimated by decoupling the ac parameters using a dc input signal. Subsequently the estimated dc parameters are then used to identify the ac parameters.

**Arshad et al.** [9] presented the working and efficiency of the two basic algorithms used for parameter estimation: Least Square (LS) and Recursive Least Square (RLS). A simple DC motor was taken as an example of a SISO system. The input voltage and the output in the form of rotations of the motor were given to the parameter estimator. The value of error for LS was far less than that achieved using RLS. Also, LS, due to its uniterative nature, was fast in estimating the unknown parameters. All these points made LS the better option between the two when it comes to estimating the parameters of a simple DC motor.

**Nassef et al.** [10] demonstrated a procedure using least squares algorithm for the identification of a feed drive system coefficients in time domain using a reduced model based on windowed input and output data. The command and response of the axis were first measured in the first 4 ms, and then least squares were applied to predict the transfer function coefficients for this displacement segment. The obtained results revealed a considerable potential of least squares method to identify the system's time-based coefficients and predict accurately the command response as compared to measurements.

**Eker et al.** [11] demonstrated discrete-time on-line identification of a permanent-magnet DC motor in open-loop conditions. Studies were carried out by formulating the mathematical

model using differential equations, and digital identification using plant input-output data. A real-time implementation of the RLS estimator was presented on the DC motor. The open-loop experimental tests was conducted successfully and clearly justified the ease of the computer based parameter identification method.

**Thananchai** [12] demonstrated theoretical analysis and experimental simulation of micro DC motor in real time environment using simulink/xpc target toolbox. The micro dc motor dynamics identified in this paper was experimentally verified in the modeling and parameter identification of a motion control system.

**Wu** [13] presented a convenient and effective system identification approach to estimate the DC motor torque constant, mechanical time constant, electrical time constant and friction coefficients using step response. This approach was implemented on two Mabuchi motors, and the test results were presented.

**Kara et al.** [14] presented nonlinear modeling and identification of a bidirectional DC motor with real time experiments. Linear and nonlinear models for the system were obtained for identification purpose, and the major nonlinearities in the system, such as Coulomb friction and dead zone were investigated and integrated in nonlinear model. The paper also revealed that the performance of the non-linear approach was superior as compared to the low speed operation, where the non-linearities in the system proved effective.

**Tutunji et al.** [15] modeled Auto Regressive Moving Average (ARMA) with steepest descent gradient algorithm to minimize error between the original and modeled velocities and then transfer function realized. After that, the input voltage was varied and the identified model results were compared with the original system.

**Hadef, et al.** [16] developed inverse problem methodology for parameter identification of separately excited dc motor. Conjugate gradient method was used to determine the unknown parameters while Tikhonov's regularization method was used to replace the original ill-posed problem with a well-posed problem.

**Bourouina et al.** [17] showed parameter identification of DC Motor via moments Method. The basic idea was general application in identification, model order reduction and controller design and comparison between direct test and Moment method was discussed based on real measurements taken in a laboratory on a separately excited dc motor.

**Hadef** *et al.* [18] proposed two identification algorithms developed based on the moments and Pasek's methods and applied them for the parameter identification of a DC motor. The first model was based on Pasek's method, and the second based on the moments method, both of which were used to identify all the motor parameters. The second method makes the model closer to reality, especially in a transient regime.

**Becedas** *et al.* [19] proposed a fast, non-asymptotic, algebraic parameter identification method applied to a DC motor to estimate its uncertain parameters: viz, viscous friction coefficient and inertia. The methodology was developed and analyzed. A comparative study between the traditional recursive least square method and the algebraic identification method was carried out. Some of advantages of this method were that it did not require any statistical knowledge of the noises corrupting the data. The methodology was robust with regard to the constant perturbation input, the Coulomb friction and also robust with regard to zero mean high frequency noises.

**Kundsen**, *et al.* [20] developed a non-linear model structure for a permanent magnet DC motor, appropriate for simulation and controller design. Experimental result demonstrated that linear models, with the parameter determined from traditional static measurements fitted dynamic measurements poorly while non-linear models with the parameter estimated from dynamic measurements however, fitted the measurements very well.

**Saab**, *et al.* [21] demonstrated to estimate the parameters of a DC motor experimentally employing discrete measurements of an integrated dynamometer. The parameters under consideration were the motor armature-winding resistance and inductance, back emf constant, motor torque constant, moment of inertia and viscous friction. A Kalman filter was also implemented, as a state observer, to estimate the angular acceleration and the derivative of the armature current.

**Tjahjowidodo** *et al.* [22] proposed identification and control of friction in a high load torque DC motor to the end of achieving accurate tracking. Model-based friction compensation in the feed-forward part of the controller was considered. For this purpose, friction model structures ranging from the simple Coulomb model to the recently developed Generalized Maxwell Slip (GMS) model were employed. For motions with high velocities, the classical models such as Coulomb friction and the Stribeck friction model gave satisfactory results comparable to the advanced models.

**Mamani et al.** [23] demonstrated adaptive position control scheme for a DC motor based on online closed loop continuous time identification. A fast, non-asymptotic, algebraic identification method was used to estimate the unknown system parameters and to update the controller. The method was suitable for simultaneously identifying both the viscous friction coefficient and the inertia of the motor. The methodology proved robust with respect to the Coulomb friction torque, considered as a constant perturbation input and was also robust with respect to zero mean high-frequency noises as seen from digital computer-based simulations. The estimation was obtained in a very short period of time, and good results were achieved.

**Dub et al.** [24] described mathematical model of separately excited dc motor featured by transfer function and applied Nelder-Mead's Simplex method to identify its parameters of dc motor and result was that the model simulated response practically matched the real system output. It was clearly visible that we can search parameters of the mathematical model from parameter offline identification of both rational speed response and armature current response.

**Mohammed** [25] presented a new system identification algorithm for obtaining an optimal set of mathematical models for system with perturbed coefficients. Then this algorithm was further applied practically by an "On Line System Identification Circuit", based on real time speed response data of a permanent magnet DC motor.

**Kiran Raj et al.** [26] presented a paper to control the speed of the PMDC motor and find the model of the closed loop system. Experimentally interface the PMDC motor to PC using NI USB-6008 DAQ card. For designing the PID controller, Lab View control system toolkit was used. The parameters were tuned to meet the requirements of the quarter amplitude decay ratio and the state space model of the closed loop system was found by sub space or black box method in Lab View.

**Ganesh et al.** [27] presented a method of determining mechanical parameters viz. moment of inertia and friction coefficient of motor and load. This paper also stressed that load parameters have appreciable effect on the dynamic response of systems and have to be determined. Effect of load on the system dynamics was emphasized by considering the PID controller tuning. Proposed method was used for estimation of moment of inertia and friction of DC motor and load under dynamic load variations.

**Bhushan et al.** [28] presented Bacterial foraging algorithm (BFA) implementation for indirect adaptive control of two nonlinear systems. The nonlinear systems considered for

analysis were liquid level control of surge tank and armature controlled DC motor speed control system. Simulations for both the nonlinear systems were also performed by GA (Genetic Algorithm). In DC motor error in speed trajectory was less fluctuating in BFA adaptive control compare to GA based adaptive control, while performance of BFA was nearby same as GA based adaptive control in liquid level system. Elapsed time of simulation is less in BFA adaptive control as compared to GA based control.

**Udomsuk** *et al.* [29] developed that the mathematical model of a dc motor with Adaptive Tabu Search (ATS) method could obtain a speed response (simulation) nearly the same as those of the testing (experiment). Hence, the good agreement of the speed responses was to confirm that the parameters from the proposed method were correct.

**Yassin** *et al.* [30] presented a Radial Basis Function Neural Network (RBFNN) based Nonlinear Auto-Regressive Model with Exogeneous Inputs (NARX) model to identify a DC motor drive model. The OSA tests performed good model fit (indicated by the residuals); while tests on the residuals showed that the NARX model had sufficiently covered all underlying dynamics present in the DC motor.

**Rahim** *et al.* [31] presented a study on non-linear autoregressive moving average with exogenous input (NARMAX) model using multilayer perceptron (MLP) neural networks for DC motor modeling. The results showed that DC motor drive system could be successfully modeled using the NARMAX model with parameter fitting employed by MLP neural network.

**Feilat** *et al.* [32] proposed neural network approach for the identification and control of a separately excited dc motor (SEDCM) loaded with a centrifugal pump. Radial basis function neural networks (RBFNN) were used as RBFNN identifier and RBFNN controller, which were trained to make the motor speed follow a selected reference signal. RBFNN was found to be effective in designing robust neuro-controllers of SEDCM with excellent dynamic behaviors.

**Cong** *et al.* [33] used compound evolution algorithms for parameter identification of a non-linear dc motor. The Genetic Algorithm (GA) with global optimization character and the simplex method were combined and used into the application of the parameter identification. Using the Global search ability of GA and fast convergence of simplex method, the efficiency of parameter identification were increased significantly.

**Arif et al.** [34] demonstrated Elman Neural Network (ENN) based identification of dc motor. Moreover, ENN learned by Genetic algorithms were found to be more representative to system order in terms of its structural complexity in comparison to those learned by back propagation algorithm. This method was utilized efficiently to find the minimum ENN structure that represents the discrete time state space model of the DC motor. Linear ENN based Genetic algorithm was not only used to find the exact state-space order of the linear system but also to identify its unknown physical parameters.

**Rubaai et al.** [35] proposed a multilayered feed forward artificial neural-network-based adaptive control structure for unknown motor/load dynamics. The random training for the neural networks was accomplished online, which enabled better absorption of system uncertainties into the neural controller. The two controller topologies considered had shown to yield satisfactory tracking performance. The ability of a neural controller to perform in the presence of noisy environment was investigated. Satisfactory performance was observed for reference track.

**Weerasooriya et al.** [36] demonstrated an artificial neural network based high performance speed control system for a dc motor. The objective was to achieve accurate trajectory control of the speed, especially when motor and load parameters were unknown. The unknown time invariant, non-linear operating characteristics of the dc motor and its load were successfully calculated by ANN. The concepts of model reference adaptive control were used in conjunction with the trained ANN to achieve trajectory control of the rotor speed.

**Narendra et al** [37] demonstrated that neural network can be used effectively for identification and control of nonlinear dynamical systems. Emphasis was based on both identification and control. Multilayer and Recurrent networks were connected in novel configurations to provide optimum result.

**Lankarany et al.** [38] proposed the application of Genetic Algorithm optimization in estimating the parameters of dc motors and compared GA with least square estimation method and found that GA estimation could be used for any LTI systems which were not linear due to parameters and was also applicable in offline parameter estimation.

**Liu et al.** [39] demonstrated fault detection and diagnosis of a permanent-magnet dc motor based on block-pulse function series to estimate the continuous-time model of the motor and showed that neural network has a good ability for pattern classification. An MLPN

(Multilayer perceptron network) had been adopted to isolate the faults of the permanent-magnet dc motor.

**Tzes *et al.*** [40] presented a fuzzy-logic-based model describing the friction present in a dc-motor system. The fine-tuning of the parameters was accomplished using genetic algorithm which minimized a system modeling relevant function. Experimental results were offered to validate the performance of the proposed fuzzy modeling and control technique and concluded that the proposed scheme reduced the system's dead-band and compensated any hysteresis and/or disturbance related effects.

**Rezazade** [41] proposed the application of Genetic Algorithm in estimation of the parameters of servo electrical drives and compared GA based parameter estimation with least square estimation method and showed that the GA method of estimation produced better results in the startup of the system where there was a lack of persistent excitation.

**Maboud *et al.*** [42] demonstrated application of Genetic Algorithm (GA) Optimization to estimate the parameters of dynamical and electrical state of a DC Motor. The problem was organized in two sections. The first problem was about dynamical treatment of a DC motor. After consideration the parametric model of a DC motor, three unknown parameters was identified by assistance of collecting data and using proposed method. The second problem was about estimation of electrical parameters of a DC motor. Finally comparison between LSE and GA optimization was presented to indicate robustness, resolution, accuracy and quicker response of GA identification method in parameter estimation.

**Kowalska *et al.*** [43] presented the application of genetic algorithm to the parameter identification of DC motor drive based on easy measurable motor variables. Three identification methods of the electro-magnetic as well as mechanical motor parameters were discussed. The first one was based on current and simple speed sensor (used to measure the steady-state speed). The second and the third methods based on only current sensor. The genetic algorithm was very effective in the application for the parameter identification problem of DC motor drive. The only disadvantage of this solution was a relatively long computational time.

## 4.4 Methodology

The initial values of parameters Armature resistance ( $R_a$ ), Armature inductance ( $L_a$ ), Back emf/torque constant ( $K$ ) are set from experimental measurement and the values of Moment of inertia ( $J_m$ ), Viscous friction ( $B_m$ ) are taken randomly because it is complicated to determine these parameters from the practical measurement. Then, we optimize these parameters using harmony search algorithm and validate the results from the experiment.

## 4.5 Algorithm for the parameter identification process

**Step 1.** Define the number of parameters to be identified for a DC motor.

**Step 2.** Initialize the parameters of Harmony Search algorithm (HSA) viz. HM (Harmony memory), HMCR (Harmony memory considering rate), PAR (Pitch adjusting rate), Maximum iteration, Stopping criteria.

**Step 3.** Define the objective function  $f(x)$ .

**Step 4.** Select the value of user defined parameter and also the range for the given objective function parameters.

**Step 5.** Generate the initial Harmony memory ( $i= 1$ : number of model parameters) of the model randomly within the range.

**Step 6.** Determine functional value of initial Harmony memory.

**Step 7.** Set iteration count, iteration =1

**Step 8.** Starting of Harmony Search, if generated random value  $>$  HMCR, Then select the value of parameter randomly as given,

$$x_{new} = L(x_{old}) + rand\epsilon(0,1) * bandwidth$$

otherwise choose value from the Harmony memory and adjust the pitch as follows:

$$x_{new} = x_{old} + bandwidth(rand - 0.5)$$

**Step 9.** Update the value of objective function and replace the worst solution with new better solution.

**Step 10.** Check stopping criteria and  $\text{iteration} > \text{maximum iteration}$ , if it is satisfied GOTO step 12.

**Step 11.** Advance the iteration count,  $\text{iteration} = \text{iteration} + 1$  and GOTO step 8.

**Step 12.** Find the optimal value of the function.

**Step 13.** Stop.

## 4.6 Experimental setup

The rating of the DC motor for the identification process is given below in Table 4.1

**Table 4.1 Specification of DC Motor used for the experiment**

Type of motor	DC shunt motor
Rated speed (N)	1250 rpm
Armature Current ( $I_a$ )	4 amp
Supply Voltage (V)	220 Volt DC
Rated Power (P)	0.9 kW

Experimentally variation of speed of the motor against different armature voltages is given below in Table 4.2

**Table 4.2 Variation of Speed corresponding to Armature voltages.**

Armature voltage (volt)	Speed (N) (rpm)
220	1296
214	1254
204	1204
200	1174



**Figure 4.3 Experimental Setup**

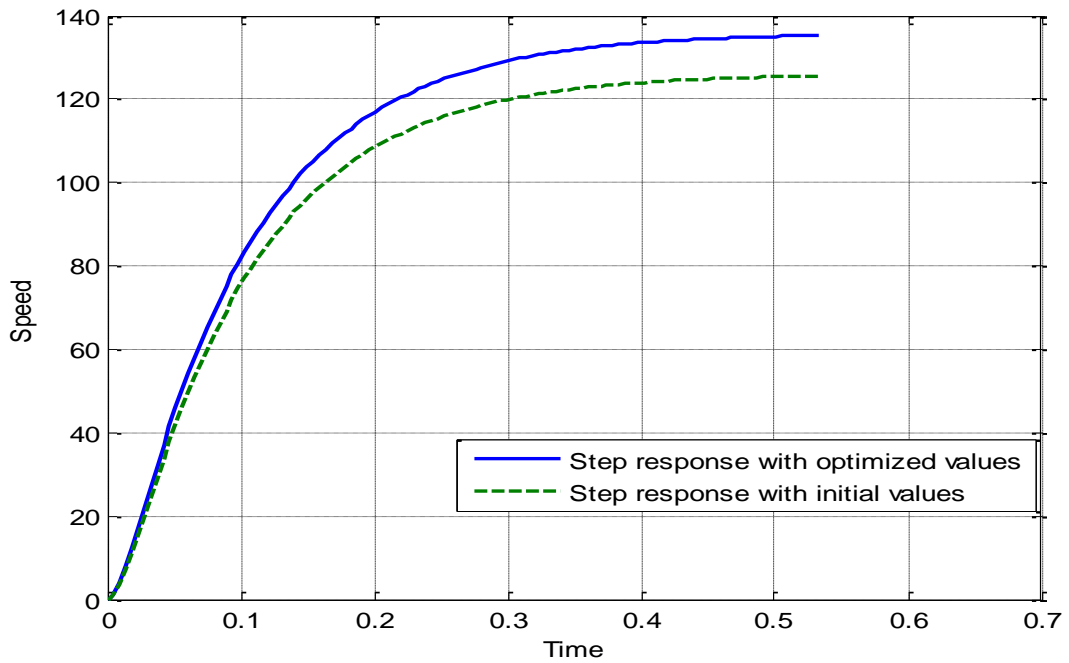
## 4.7 Results

The initial and optimum values of parameters are given below in Table 4.3 when user defined parameters of HSA are taken as HMCR=0.9, PAR=0.5, HM=7, PR=100

**Table 4.3 DC Motor Parameters Initial values and Optimized values**

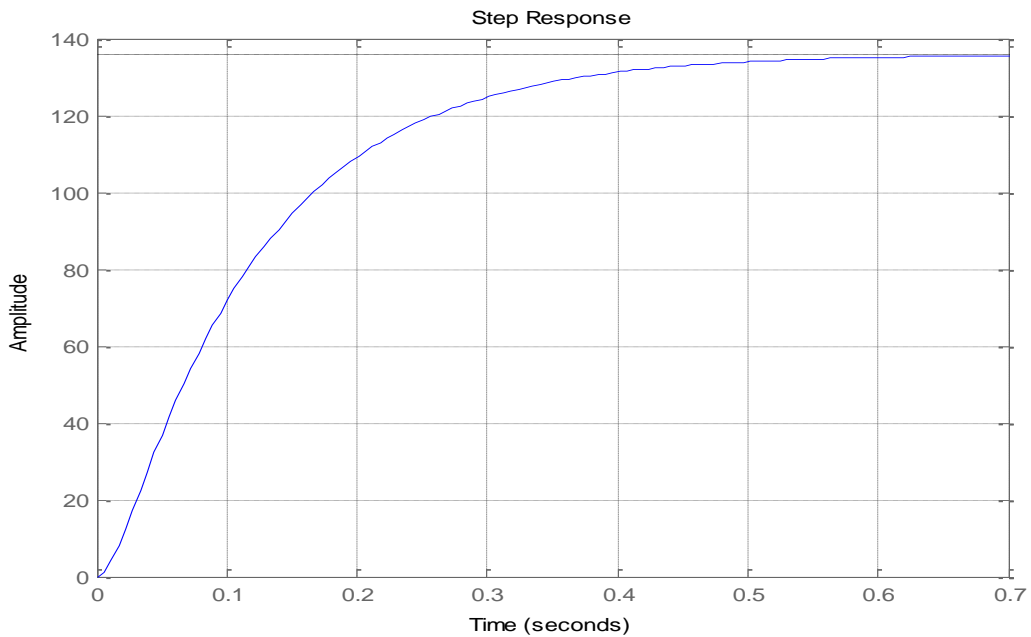
Parameters	Initial values	Optimized values
Armature resistance( $R_a$ )	8.9 ohm	8.6513 ohm
Armature inductance( $L_a$ )	0.0994 H	0.1076 H
Moment of Inertia( $J_m$ )	0.03 kg-m <sup>2</sup>	0.0310 kg-m <sup>2</sup>
Viscous friction( $B_m$ )	0.048 N-m-s/rad	0.0457 N-m-s/rad
Back emf constant/Torque constant(K)	1.45	1.3191

Step response of speed with initial values and optimized values of parameters is shown in Figure 4.4; there is a mismatch between the two due to the initial guess of the parameters viz. moment of inertia ( $J_m$ ) and viscous friction ( $B_m$ ).



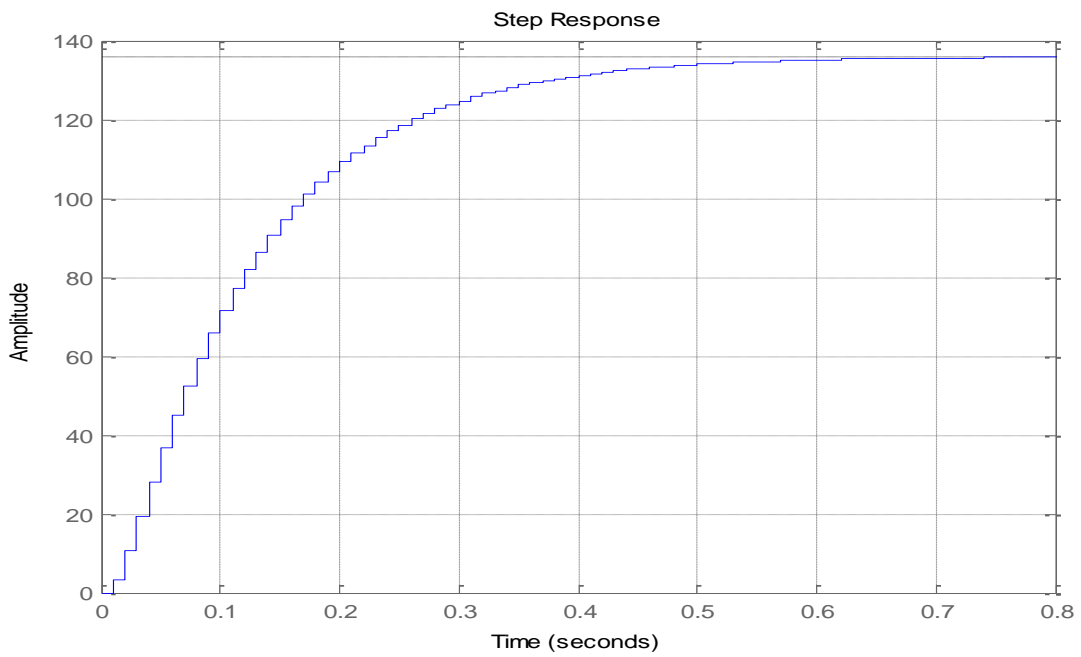
**Figure 4.4 Step response of Speed (rad/sec) with Initial and Optimized values of DC Motor Parameters (continuous time system)**

Step response of speed (speed simulation) is shown in figure 4.5



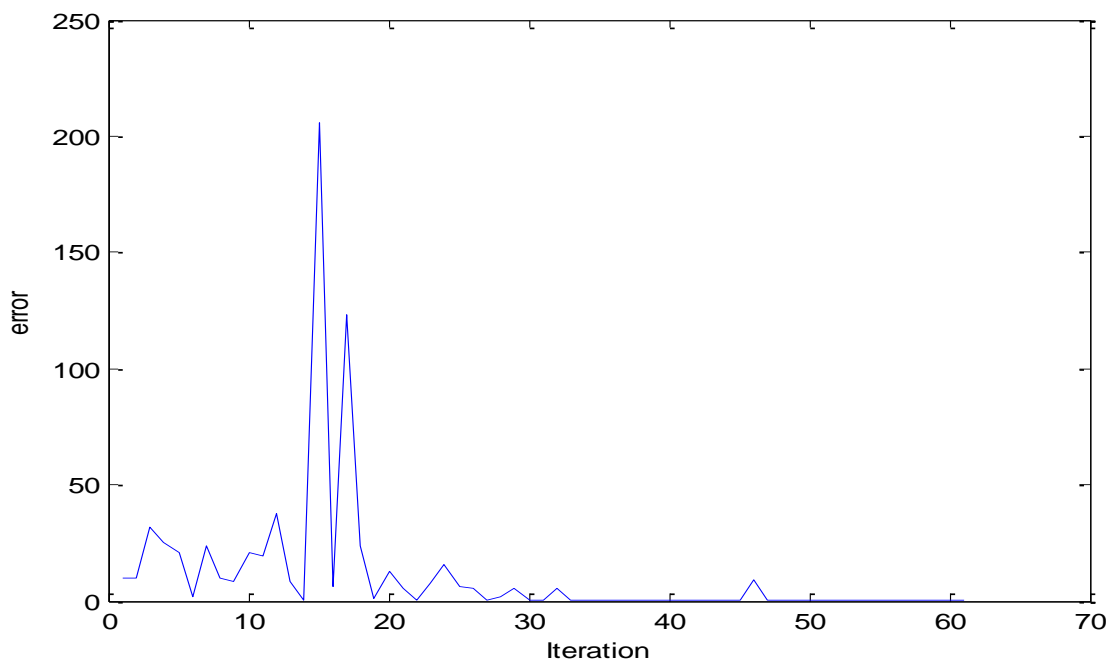
**Figure 4.5 Step response of Speed, (rad/sec) (simulated, continuous time system)**

Step response of speed at sampling time  $T_s=0.01$  second is shown in fig. 4.6.



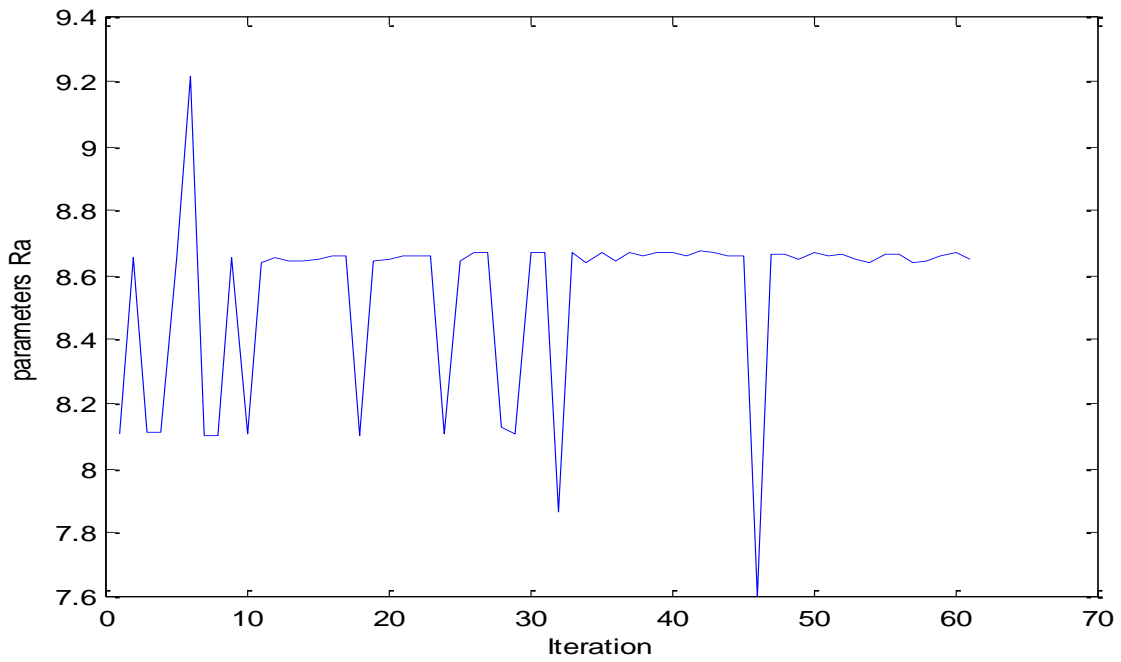
**Figure 4.6 Step response of Speed for  $T_s = 0.01$  second (discrete time system)**

The variations of square error between simulated speed and experimental speed with iterations are shown in Figure 4.7.



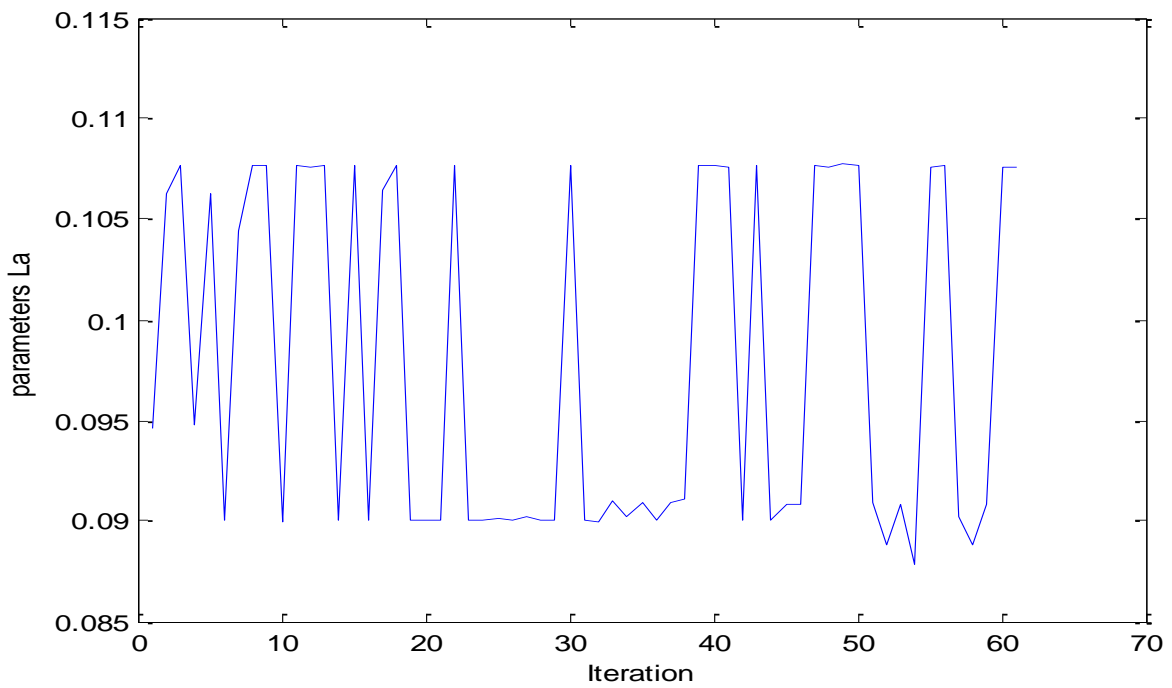
**Figure 4.7 Variation of Error with Iterations**

The variations of armature resistance ( $R_a$ ) with iterations is shown in figure 4.8.



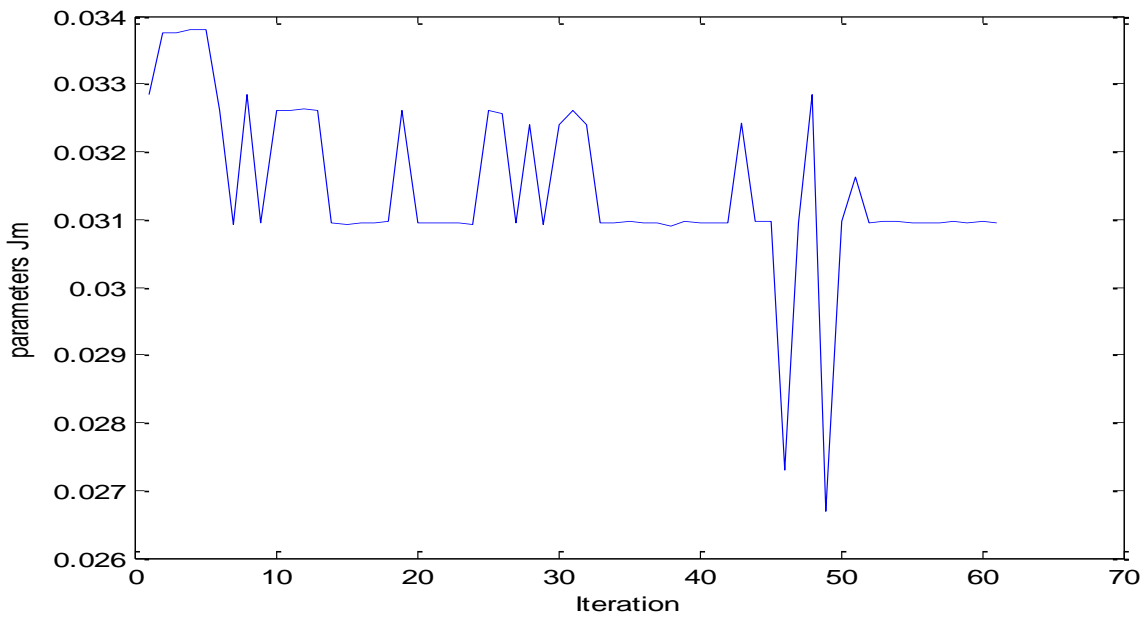
**Figure 4.8 Variations of Armature resistance with Iterations**

The variations of armature inductance ( $L_a$ ) with iterations is shown in figure 4.9.



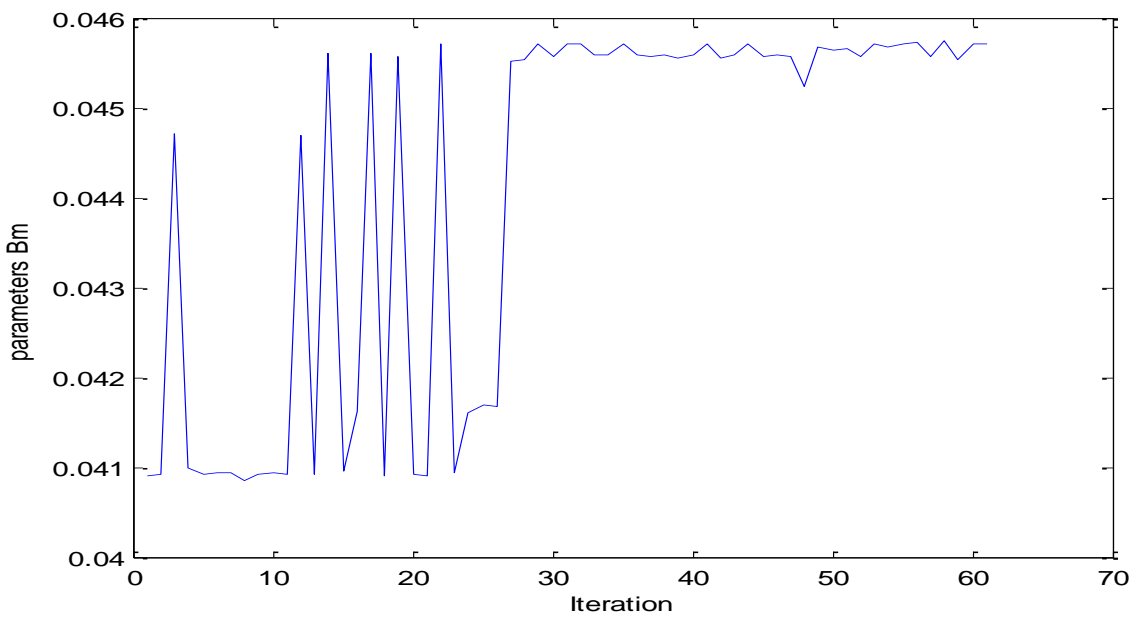
**Figure 4.9 Variations of Armature inductance with iterations**

The variations of moment of inertia ( $J_m$ ) with iteration is shown in figure 4.10.



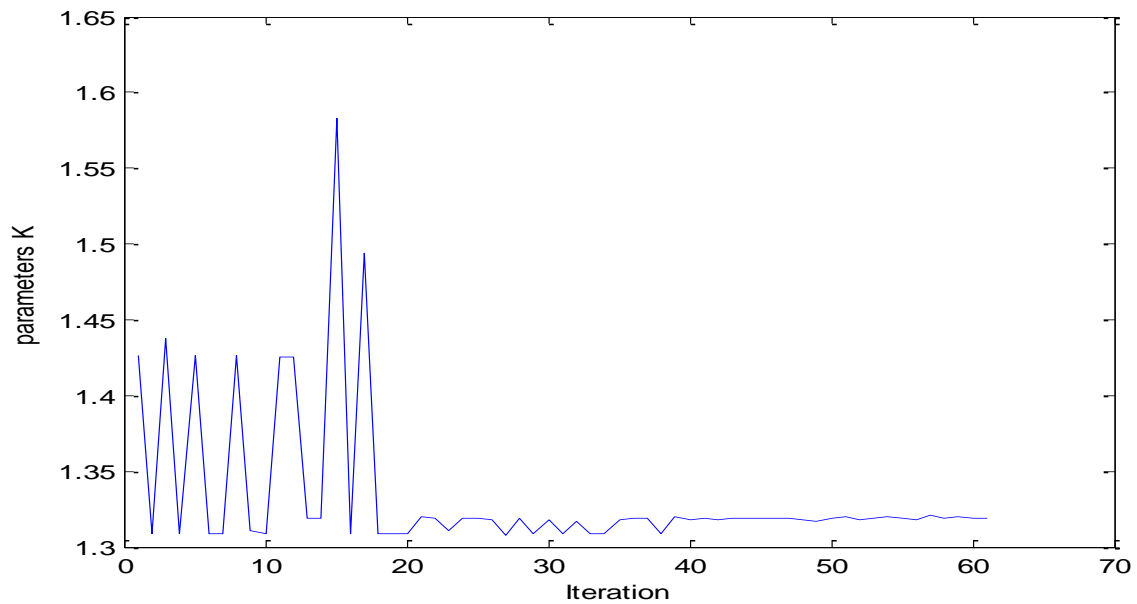
**Figure 4.10 Variation of Moment of inertia with Iterations**

The variation of viscous friction ( $B_m$ ) with iteration is shown in figure 4.11.



**Figure 4.11 Variation of Viscous friction with Iterations**

The variation of back Emf constant/ torque constant (K) with iteration is shown in figure 4.12.



**Figure 4.12 Variation of Back EMF/ Torque constant with Iterations**

## 4.8. Conclusion

Normally, the electrical parameters of DC motor can be determined from the experimental setup. However, it is difficult to find some of the parameters viz. moment of inertia ( $J_m$ ), viscous friction ( $B_m$ ) from the experimental setup. Therefore, in this chapter, we have presented the Harmony Search Algorithm (HSA) to identify the motor parameters. The motor speeds at different armature voltage are determined from the experimental setup and are used in the identification process. Thus, we concluded that the speed response (Speed simulation) nearly the same as those of the testing (Speed experiment). Thus from the speed response of the motor we confirmed that the parameters obtained from the proposed algorithm are correct. In the next chapter, we will control the motor model developed using PI controller and tune the controller parameters using Harmony search algorithm.

## CHAPTER 5

# CONTROLLER DESIGN FOR DC MOTOR USING HARMONY SEARCH ALGORITHM

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### 5.1 Introduction

In industrial applications, feedback loops are controlled using controller. The effect of feedback loops is to attain the set-point or reference point irrespective of the disturbances. Thus, controller is always designed to reduce the error between the measured output and desired set point in the system.

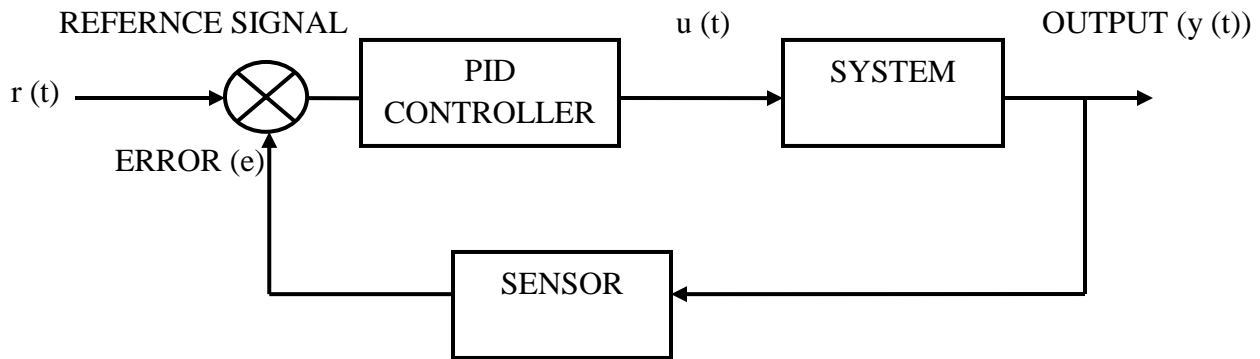
The Proportional-Integral (P-I) controller is one of the widely used controller in industrial practice for more than 60 years for the speed control of DC motor drives system, because of its relatively simple structure and implementation and ability to maintain a zero steady-state error to a step change in reference in comparison to the PID controller. Therefore, we have used Proportional- Integral controller for the control of DC motor. There are numerous methods for the tuning of PI controller. In this chapter, we have applied Harmony Search Algorithm (HSA) to tune the controller parameters and then compared its performance with classical tuning techniques.

### 5.2 PID Controller

PID controller consists of three types of control i.e. Proportional, Integral and Derivative control. The basic structure of PID is shown in the figure 5.1. The output is measured by the sensor and compares it with the reference input and produces the error. The error is sent to the controller and controller will perform integral and derivative action on it. Thereafter, the signal which is the output of the controller is equal to the sum of [the product of proportional gain and magnitude of error], [the product of integral gain and integral of error], [the product of derivative gain and derivative of error]. The output of the controller is sent to the input of the system and it changes some aspect of the system. For example, if you are controlling a motor, the controller

would provide more or less current. The system output is then measured again and the whole process repeats. The output of the controller is defined as follows:

$$u = \left( K_p * error + K_i \times \int Error dt + K_d \times \frac{d(Error)}{dt} \right)$$



**Figure 5.1 Basic structure of PID controller**

### 5.2.1 Proportional Controller (P)

The proportional controller output ( $u$ ) is proportional to the system error ( $e = r(t) - y(t)$ ) to control the system. It exerts a positive command to the system when  $r(t) > y(t)$  and negative command when  $r(t) < y(t)$ . Primarily, P controller is only responsible for the responsiveness for the PID controller.

$$u = K_p \times Error$$

Where,  $K_p$  = Proportionality constant

### 5.2.2 Integral Controller (I)

The integral controller accumulates the errors, and generates its output according to the values of the errors at that particular instant. Therefore, this controller produces the output even when the  $r(t) = y(t)$ , if the error accumulated at that time is not zero. If the accumulated errors are positive, the controller drives the system response to overshoot and if the accumulated errors are negative, the controller will drive the response to undershoot. These two of the conditions are

undesirable as these make the system unstable. However, Integral controller reduces the steady state error under the condition of disturbances in the system.

$$u = K_i \times \int Error \, dt$$

### 5.2.3 Derivative Controller (D)

The derivative controller output is proportional to the rate of change of the error ( $e = r(t) - y(t)$ ). If the reference input signal is constant,  $e = [-y(t)]$ , and the output of the controller will be  $u(t) = -K_D \dot{y}(t)$ . Therefore, the D controller will act opposite to the motion, similar to the damper used in mass-spring-damper system. Thus, D controller is used to reduce or eliminate overshoot and improves the transient stability of the system.

$$u = K_D \times \frac{d}{dt} (Error)$$

### 5.2.4 Standard Transfer Function

The system transfer functions in continuous s-domain are given as

$$\text{For } P = K_p,$$

$$I = K_i/s, \text{ and } D = K_D s$$

$$\text{So, } G_c(s) = P + I + D = K_p + \frac{K_i}{s} + K_D s$$

$$G_c(s) = K_p \left( 1 + \frac{1}{T_i s} + T_d s \right)$$

Where,  $K_p$  is the proportional gain,  $K_i$  is the integration coefficient and  $K_D$  is the derivative coefficient.  $T_i$  is known as the integral action time or reset time and  $T_d$  is the derivative action time or rate time.

### 5.3 Characteristics of PID Controller

The proportional gain ( $K_p$ ) reduces the rise time. Integral action ( $K_i$ ) reduces or eliminates the steady state error, but it makes the transient response worse. The derivative gain ( $K_D$ ) improves the transient response of the system in terms of maximum percentage overshoot, stability. The effect of  $K_p, K_i, K_D$  on closed loop system is shown in Table 5.1. Thus, the type of controller is selected on the basis of the desired performance of the system.

**Table 5.1 Effect of controller parameters on system performance**

<b>Controller parameters</b>	<b>Rise time</b>	<b>Overshoot</b>	<b>Settling time</b>	<b>Steady state error</b>
$K_p$	Decrease	Increase	Small change	Decrease
$K_i$	Decrease	Increase	Increase	Eliminate
$K_D$	Small change	Decrease	Decrease	Small change

### 5.4 Closed Loop Tuning Methods

Tuning of the controller is to determine the parameters of the controller  $K_p, T_i,$  and  $T_d$  for the desired control response. The control system performs poor in characteristics and even it becomes unstable, if improper values of the controller tuning parameters are used. So, it becomes necessary to tune the controller parameters to achieve good control performance with the proper choice of tuning constants. There are following techniques for tuning of the controller parameters:

#### 5.4.1 Ziegler-Nichols Tuning Method

The Z-N method was introduced by John G. Ziegler and Nathaniel B. Nichols in the 1940s. According to the method, initially set the controller to P mode only. Next, set the gain of the controller ( $K_p$ ) to a small value. Make a small set point (or load) change and observe the response of the controlled variable. If  $K_p$  is low the response would be sluggish. Increase  $K_p$  by a factor of two and make another small change in the set point or the load. Keep increasing  $K_p$  (by a factor of

two) until the response becomes oscillatory. Finally, adjust  $K_p$  until a response is obtained that produces continuous oscillations. This is known as the ultimate gain ( $K_u$ ). Also note the period of the oscillations ( $P_u$ ). The  $K_u$  is the gain margin of the system and;

$$P_u = \frac{2. \pi}{w c g}$$

Where,  $w c g$  is the gain crossover frequency.

The Ziegler-Nichols continuous cycling method is one of the best known closed loop tuning strategies. The controller gain is gradually increased (or decreased) until the process output continuously cycles after a small step change or disturbance. At this point, the controller gain is selected as the ultimate gain ( $K_u$ ), and the observed period of oscillation is the ultimate period ( $P_u$ ). Ziegler and Nichols originally suggested PID tuning constants as a function of the ultimate gain and ultimate period [44].

**Table 5.2 Ziegler-Nichols Tuning Rules**

Controller		$K_p$	$T_i$	$T_d$
Ziegler-Nichols Method (Open loop)	P	$0.5 K_u$	-	-
	PI	$0.45 K_u$	$P_u/1.2$	-
	PID	$0.6 K_u$	$P_u/2$	$P_u/8$

### 5.4.2 Astrom-Hagglund Tuning Method

An improvement of the Ziegler-Nichols method is given by Astrom and Hagglund. They proposed to use a relay feedback. This nonlinear feedback includes a limit cycle oscillation. The period of this oscillation is  $P_u$  and a good estimate for the ultimate gain can be calculated from the oscillation amplitude  $a$  with:

$$K_u = \frac{4d}{\pi a}$$

The major advantage of using relay feedback is that the system is not driven to instability. Further, it offers the possibility to identify different points on the Nyquist curve which gives more information about the course of the Nyquist plot.

**Table 5.3 Astrom-Hagglund Closed Loop Method**

Controller		$K_p$	$T_i$	$T_d$
Astrom-Hagglund Method (Closed loop)	PI	$0.32K_u$	0.94	-

## 5.5 Methodology

The initial value of the parameters of the PI controller  $K_p$ ,  $T_i$  are taken randomly and used the transfer function of the DC motor determined from the identification process in the previous chapter. Thereafter, we applied the Harmony search algorithm to tune the parameters of PI controller parameters according to our desired response criteria and also compared the results with the classical tuning methods.

## 5.6 Algorithm for controller design for DC motor using HSA

**Step 1.** Define the number of parameters to be identified for a DC motor.

**Step 2.** Initialize the parameters of Harmony Search algorithm (HSA) viz. HM (Harmony memory), HMCR (Harmony memory considering rate), PAR (Pitch adjusting rate), Maximum iteration, Stopping criteria.

**Step 3.** Define the objective function  $f(x)$ .

**Step 4.** Select the value of user defined parameter and also the range for the given objective function parameters.

**Step 5.** Generate the initial Harmony memory ( $i= 1$ : number of model parameters) of the model randomly within the range.

**Step 6.** Determine functional value of initial Harmony memory vectors.

**Step 7.** Set iteration count, iteration =1

**Step 8.** Starting of Harmony Search, if generated random value  $>$  HMCR, Then select the value of parameter randomly as given,

$$x_{inew} = L(x_{old}) + rand\epsilon(0,1) \times bandwidth$$

Otherwise choose value from the Harmony memory and adjust the pitch as follows:

$$x_{new} = x_{old} + bandwidth(rand - 0.5)$$

**Step 9.** Update the value of objective function and Replace the worst solution with new better solution.

**Step 10.** Check stopping criteria and iteration  $>$  maximum iteration, if it is satisfied GOTO step 12.

**Step 11.** Advance the iteration count, iteration = iteration+1 and GOTO Step 8.

**Step 12.** Find the optimal value of the function.

**Step 13.** Stop.

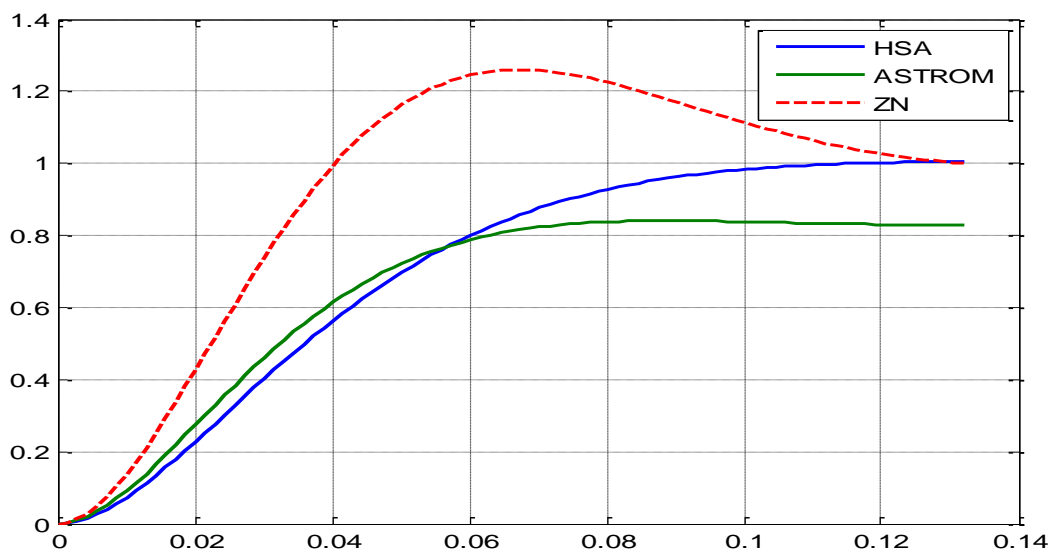
## 5.7 Results

The comparison of the results of the system using Harmony search algorithm and classical tuning method for PI controller is given below in Table 5.4 when user defined parameters for Harmony Search Algorithm (HSA) are taken as, HMCR=0.9, PAR=0.7, HM=7

**Table 5.4 Comparison of Classical and Harmony search algorithm tuning method**

Tuning methods	Rise time (seconds)	Settling time (seconds)	Overshoot (percentage)
Ziegler-Nichols Method (Z-N)	0.0277	0.1223	25.9367
Astrom-Hagglund Method	0.7844	2.6702	0
Harmony search algorithm	0.0623	0.0982	0.5384

The comparison of closed loop system response of DC motor using classical tuning methods viz. Ziegler-Nichols, Astrom-Hagglund and Harmony search algorithm is shown in the Figure 5.2



**Figure 5.2 Comparison of Closed loop response of DC motor using Classical and HSA methods**

## **5.8 Conclusion**

In this chapter, we have devised a PI controller for the identified transfer function of the DC motor model using Classical and Harmony search algorithm. Thus, the result shows that after using the proposed algorithm, closed loop response of DC motor are far better than the classical tuning techniques in terms of rise time, settling time and peak overshoot.

## CHAPTER 6

### CONCLUSION AND FUTURE SCOPE

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#### 6.1 Conclusion

The proposed algorithm is applied to different standard benchmark functions viz. Sphere, Greiwank, Rotated Hyper-Ellipsoid, and Ackley function to find the efficacy of the algorithm. Thereafter, we build the model of armature controlled DC motor from its input-output relationship using transfer function approach and then apply Harmony Search Algorithm (HSA) on it to optimize its parameters (viz. armature resistance, armature inductance, moment of inertia, viscous friction, emf/torque constant). Finally, we tuned the response according to our design requirements using Harmony Search Algorithm (HSA) and then compared its performance with different classical tuning techniques and concluded that Harmony Search Algorithm (HSA) works better than the existing tuning techniques.

#### 6.2 Future Scope of the Work

Future considerations are depicted as follows:

- i. Further we can consider the Regulatory response of the DC motor to optimize the model parameters.
- ii. We can also incorporate non-linearity in the system by introducing load torque as a function of speed.
- iii. We can also compare the results of parameter identification of DC motor with the existing algorithms like Genetic Algorithm (GA), Bacterial Foraging Optimization Algorithm (BFOA) etc.
- iv. Modified or improved approach (like variants of Harmony search algorithm) can also be applied for the DC motor parameter identification purpose.
- v. Hybridization with different algorithms like GA, BFOA can also be used for parameter identification of DC motor to improve its performance.

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## PUBLICATIONS

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Work carried out in this dissertation resulted in the following publications:

Papers submitted:

1. Ankit Vashistha, Nitesh Chandra Mishra and Souvik Ganguli, “Comparative Performance Study of Firefly and Harmony Search Algorithms for optimization of Nonlinear Benchmark Functions”, *Asian Journal of Engineering Research*.(Under review)
2. Ankit Vashistha, Nitesh Chandra Mishra and Souvik Ganguli, “Parameter Identification of DC Motor: A Brief Review and Future Direction of Work”, *International Review on Modelling and Simulations*, Italy. (Under review)

Papers to be communicated:

3. Nitesh Chandra Mishra and Souvik Ganguli, “Parameter Identification of DC Motor Using Harmony Search Algorithm”.
4. Nitesh Chandra Mishra and Souvik Ganguli, “Controller Design for DC Motor Using Harmony Search Algorithm”.